

RT/ZW/460/2015

Warsaw, 18 September 2015

**To KDPW_TR Trade Repository Participants
To Whom It May Concern**

Dear Madam or Sir,

Please be advised that according to prior communications, we are implementing a three-step message confirmation process upon the implementation of level 2 validation. The first step is a message which confirms that the report conforms to the message schema: trar.akc.001.01 (its negative counterpart is admi.err.001.01). The second step is a message stating the non-compliance of the report following a substantive check: trar.sts.003.01 (with the relevant status PACK/ERRO). The message contains a minimum of details: the transaction key, the sender message reference, and the report status; in addition, for the status ERRO, the message contains the error code and a brief error description. The third step is the message trar.sts.001.02 with the status PACK which confirms the values entered into the trade repository database.

At the time of implementation in production, the step three confirmation message trar.sts.001.02 will have been configured for all participants in accordance with the legacy solution. If the configuration does not match your needs, please complete the attached declaration form, which will allow us to modify the configuration in the KDPW_TR system.

Enclosed please find also an updated template of the request for access rights to a U2A personal certificate. For previously issued certificates, the new access rights i.e. access to the

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tab 'Deleted reports' and the function of correcting reports under the authorisation for a PUR (AT=O), will be automatically added to the configuration. If you wish to make any modifications of the configuration, please complete the attached request form, which will allow us to modify the configuration in the KDPW_TR system accordingly.

Should you have any doubts, please contact us by e-mail: repository@kdpw.pl or by phone: +48 22 537 91 47, +48 22 537 93 30, +48 22 537 95 52, +48 22 537 91 30.

Yours sincerely,

Sławomir Zając
Director 
Trade Repository Department

USER DECLARATION – FEEDBACK STATUS MESSAGES

Name of the reporting entity:

Registered address of the reporting entity:

LEI of the reporting entity:

TR communication identifier of the reporting
entity:.....

In connection with the three-step confirmation of reports, we request the following
configuration of **confirmation messages**:¹

trar.ack.001.01/admi.err.001.01

trar.sts.003.01 (PACK, ERROR)

trar.sts.001.02 (PACK and copied data)

.....
Signatures of the entity's authorised representatives

¹ Tick the appropriate box

.....

.....

(Place and date)

.....

(TR Participant name and address)

U2A Individual Certificate Rights Request

I. This is to request granting of access rights in the following environment:

production / *test A* / *test B* *

to _____

e-mail address: _____ certificate no.: _____

as follows*:

- 1. **Import – [XML file import]** (Importing XML messages)
- 2. **Searching – [Searching and reports download]** (Viewing trades and reports)
- 3. **Archive – [Archive]** (Viewing archived trades)
- 4. **Deleted reports – [Deleted reports]** (Viewing deleted reports)
- 5. **Communication – [TR Communication]** (Viewing received xml messages)

- 6. **Reporting service Action Type N** (Reporting trade registrations to TR)
- 7. **Reporting service Action Type M** (Reporting trade modifications to TR)
- 8. **Reporting service Action Type C** (Reporting trade terminations to TR)
- 9. **Reporting service Action Type E** (Reporting trade cancellations to TR)
- 10. **Reporting service Action Type O** (Reporting trade deleted to TR)
- 11. **Reporting service Action Type V** (Reporting trade valuations to TR)
- 12. **Reporting service Action Type Z** (Reporting trade compressions to TR)

II. This is to request cancellation of all access rights in the following environment:

production / *test A* / *test B* *

of _____

e-mail address: _____ certificate no. : _____

.....

(TR Participant seal)

.....

(signatures of authorised representatives)

* mark the appropriate box with an "X"