

System KDPW_TR

**Message: Report status message after
substantive check (trar.sts.003.02)**

Description:

Report status message after substantive check

Structure:

Pos	Or	< XML Tag >	Name	Multiplicity	Type
0		KDPWDocument	KDPW_TR system message	[1..1]	KDPWDocument
0.1		trar.sts.003.02	Report status message after substantive check	[1..10000]	trar.sts.003.02
1		GnlInf	General information	[1..1]	GeneralInformation
1.1		RptgNtty	Report submitting entity ID	[0..1]	LEIIdentifier
1.2		SndrMsgRef	Sender message reference	[1..1]	Max16Text
1.3		ActnTp	ActionType	[1..1]	Max1Text
1.4		Lvl	Level	[1..1]	Max1Text
1.5		RepTmStmp	ReportingTimestamp	[1..1]	ISODateTime
1.6		Lnk	Linkages	[1..1]	Linkages
1.6.1		PrvsSndrMsgRef	PreviousReference	[1..1]	Max16Text
1.6.2		TradRefId	TradeReference	[0..2]	TradeReference
1.6.2.1		UnqTradIdr	UniqueTradeIdentifier	[1..1]	Max52Text
1.6.2.2		RptgCtrPtyId	CounterpartyIdentification	[1..1]	Max20Text
1.6.2.3		OthrCtrPtyId	OtherCounterpartyIdentification	[1..1]	Max50Text
2		RptDtIs	ReportDetails	[1..1]	ReportDetails_1
2.1		EligDt	Eligible date	[0..1]	ISODate
2.2		RgIntInd	Regulation indicator	[1..1]	RegulationIndicator
2.3		CtrPty	Counterparty	[1..2]	Counterparty_TR
2.3.1		RptgCtrPtyId	Identification	[1..1]	Max20Text
2.3.2		CtrPtySd	CounterpartySide	[0..1]	OptionParty1Code
2.3.3		OthrCtrPty	OtherCounterparty	[1..1]	CounterpartyOther_TR
2.3.3.1		Id	Identification	[1..1]	OrganisationIdentification3Choice_1
2.3.3.1.1	{ or	LEI	LEI	[1..1]	Max20Text
2.3.3.1.2	or }	ClntId	ClientIdentification	[1..1]	ESMAMax50AlphaNumeric
2.4		TxData	TransactionData	[1..1]	TradeTransaction11_3
2.4.1		UnqTradIdr	UniqueTradeIdentifier	[1..1]	Max52Text
3		Sts	Status	[1..1]	Status
3.1		StsCd	StatusCode	[1..1]	Code4Text
3.2		Rsn	Reason	[0..1]	Reason
3.2.1		RsnCd	ReasonCode	[0..1]	Code4Text

3.2.2		RsnTxt	ReasonText	[0..1]	Max140Text
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Message components:

KDPWDocument- KDPW_TR system message (element)

Description KDPW_TR system message
Type [KDPWDocument](#)
Source <xs:element name="KDPWDocument" type="KDPWDocument" />

Code4Text- Qualifier (simple type)

Description Qualifier
Type Derived from: xs:string
Format Min. length=4
Max. length=4
Source <xs:simpleType name="Code4Text" >
<xs:restriction base="xs:string" >
<xs:whiteSpace value="collapse" />
<xs:minLength value="4" />
<xs:maxLength value="4" />
</xs:restriction>
</xs:simpleType>

Counterparty_TR- CounterpartyTR (complex type)

Description Information related to counterparty identification.
Components [RptgCtrPtyId](#)
[CtrPtySd{0,1}](#)
[OthrCtrPty](#)

Source <xs:complexType name="Counterparty_TR" >
<xs:sequence >
<xs:element name="RptgCtrPtyId" type="Max20Text" />
<xs:element name="CtrPtySd" type="OptionParty1Code" minOccurs="0" />
<xs:element name="OthrCtrPty" type="CounterpartyOther_TR" />
</xs:sequence>
</xs:complexType>

Counterparty_TR/RptgCtrPtyId- Identification (element)

Description Unique code identifying the reporting counterparty of the contract.
Type [Max20Text](#)
Source <xs:element name="RptgCtrPtyId" type="Max20Text" />

Counterparty_TR/CtrPtySd- CounterpartySide (element)

Description Identifies whether the reporting counterparty is a buyer or a seller.

Type [OptionParty1Code](#)

Source `<xs:element name="CtrPtySd" type="OptionParty1Code" minOccurs="0" />`

Counterparty_TR/OthrCtrPty- OtherCounterparty (element)

Description Definition of the other counterparty in the transaction.

Type [CounterpartyOther_TR](#)

Source `<xs:element name="OthrCtrPty" type="CounterpartyOther_TR" />`

CounterpartyOther_TR- Counterparty2__1 (complex type)

Description Information related to counterparty identification.

Components [Id](#)

Source

```
<xs:complexType name="CounterpartyOther_TR" >
  <xs:sequence >
    <xs:element name="Id" type="OrganisationIdentification3Choice__1" />
  </xs:sequence>
</xs:complexType>
```

CounterpartyOther_TR/Id- Identification (element)

Description Unique code identifying the other counterparty of the contract. Usage: This field shall be filled from the perspective of the reporting counterparty. In case of a private individual a client code shall be used in a consistent manner.

Type [OrganisationIdentification3Choice__1](#)

Source `<xs:element name="Id" type="OrganisationIdentification3Choice__1" />`

DateAndDateTimeChoice- Date and time (complex type)

Description Date and time

Components [Dt](#)
[DtTm](#)

Source

```
<xs:complexType name="DateAndDateTimeChoice" >
  <xs:sequence >
    <xs:choice >
      <xs:element name="Dt" type="ISODate" />
      <xs:element name="DtTm" type="ISODateTime" />
    </xs:choice>
  </xs:sequence>
</xs:complexType>
```

DateAndDateTimeChoice/Dt- Date (element)

Description Date

Type [ISODate](#)

Source `<xs:element name="Dt" type="ISODate" />`

DateAndDateTimeChoice/DtTm- Date and time (element)

Description Date and time

Type [ISODateTime](#)

Source `<xs:element name="DtTm" type="ISODateTime" />`

ESMAMax50AlphaNumeric- ESMAMax50AlphaNumeric (simple type)

Description A string of up to 50 capital letters (A-Z) or numbers (0-9).

Type Derived from: xs:string

Format `xs:pattern=[A-Z0-9]{1,50}`

Source

```
<xs:simpleType name="ESMAMax50AlphaNumeric" >
  <xs:restriction base="xs:string" >
    <xs:pattern value="[A-Z0-9]{1,50}" />
  </xs:restriction>
</xs:simpleType>
```

GeneralInformation- General information (complex type)

Description General information

Components [RptgNtty{0,1}](#)
[SndrMsgRef](#)
[ActnTp](#)
[Lvl](#)
[RepTmStmp](#)
[Lnk](#)

Source

```
<xs:complexType name="GeneralInformation" >
  <xs:sequence >
    <xs:element name="RptgNtty" type="LEIIdentifier" minOccurs="0" />
    <xs:element name="SndrMsgRef" type="Max16Text" />
    <xs:element name="ActnTp" type="Max1Text" />
    <xs:element name="Lvl" type="Max1Text" />
    <xs:element name="RepTmStmp" type="ISODateTime" />
    <xs:element name="Lnk" type="Linkages" />
  </xs:sequence>
</xs:complexType>
```

GeneralInformation/RptgNtty- Report submitting entity ID (element)

Description Report submitting entity ID

Type [LEIdentifier](#)
Source <xs:element name="RptgNtty" type="LEIdentifier" minOccurs="0" />

GeneralInformation/SndrMsgRef- Sender message reference (element)

Description Sender message reference
Type [Max16Text](#)
Source <xs:element name="SndrMsgRef" type="Max16Text" />

GeneralInformation/ActnTp- ActionType (element)

Description Action type as defined by ESMA
Type [Max1Text](#)
Source <xs:element name="ActnTp" type="Max1Text" />

GeneralInformation/Lvl- Level (element)

Description Level
Type [Max1Text](#)
Source <xs:element name="Lvl" type="Max1Text" />

GeneralInformation/RepTmStmp- ReportingTimestamp (element)

Description Reporting timestamp
Type [ISODateTime](#)
Source <xs:element name="RepTmStmp" type="ISODateTime" />

GeneralInformation/Lnk- Linkages (element)

Description Linkages to related messages
Type [Linkages](#)
Source <xs:element name="Lnk" type="Linkages" />

ISODate- ISODate (simple type)

Description A particular point in the progression of time in a calendar year expressed in the YYYY-MM-DD format. This representation is defined in "XML Schema Part 2: Datatypes Second Edition - W3C Recommendation 28 October 2004" which is aligned with ISO 8601.

Type Derived from: xs:date

Format

Source

```
<xs:simpleType name="ISODate" >
  <xs:restriction base="xs:date" >
    </xs:restriction>
  </xs:simpleType>
```

ISODateTime- ISODateTime (simple type)

Description an ISODateTime

Type Derived from: xs:dateTime

Format

Source

```
<xs:simpleType name="ISODateTime" >
  <xs:restriction base="xs:dateTime" >
    </xs:restriction>
  </xs:simpleType>
```

KDPWDocument- KDPW_TR system message (complex type)

Description KDPW_TR system message

Attributes	Name	Description	Type
	Sndr	Senders KDPW institution code	KDPWMemberIdentifier
	Rcvr	Receivers KDPW institution code	KDPWMemberIdentifier

Components [trar.sts.003.02](#){1,10000}

Source

```
<xs:complexType name="KDPWDocument" >
  <xs:sequence >
    <xs:element name="trar.sts.003.02" type="trar.sts.003.02" maxOccurs="10000" />
  </xs:sequence>
  <xs:attribute name="Sndr" type="KDPWMemberIdentifier" use="required" />
  <xs:attribute name="Rcvr" type="KDPWMemberIdentifier" use="required" />
</xs:complexType>
```

KDPWDocument/trar.sts.003.02- Report status message after substantive check (element)

Description Report status message after substantive check

Type [trar.sts.003.02](#)

Source

```
<xs:element name="trar.sts.003.02" type="trar.sts.003.02" maxOccurs="10000" />
```

KDPWMax52AlphaNumericAdditionalCharacters-ESMAMax52AlphaNumericAdditionalCharacters (simple type)

Description A string of up to 52 captial letters (A-Z), numbers (0-9) or special characters ":", ".", "-", "_". Special characters not allowed at the beginning and at the end.

Type Derived from: xs:string

Format xs:pattern=[A-Z0-9]{1}[A-Z0-9:\.\-_{0,50}[A-Z0-9]{1}[A-Z0-9]{1,52}

Source

```
<xs:simpleType name="KDPWMax52AlphaNumericAdditionalCharacters" >  
  <xs:restriction base="xs:string" >  
    <xs:pattern value="[A-Z0-9]{1}[A-Z0-9:\.\-_{0,50}[A-Z0-9]{1}[A-Z0-9]{1,52}" />  
  </xs:restriction>  
</xs:simpleType>
```

KDPWMemberIdentifier- KDPW institution code (simple type)

Description KDPW institution code

Type Derived from: xs:string

Format Min. length=4
Max. length=4

Source

```
<xs:simpleType name="KDPWMemberIdentifier" >  
  <xs:restriction base="xs:string" >  
    <xs:whiteSpace value="collapse" />  
    <xs:minLength value="4" />  
    <xs:maxLength value="4" />  
  </xs:restriction>  
</xs:simpleType>
```

LEIdentifier- LEIdentifier (simple type)

Description Legal Entity Identifier is a code allocated to a party as described in ISO 17442 "Financial Services - Legal Entity Identifier (LEI)".

Type Derived from: xs:string

Format xs:pattern=[A-Z0-9]{18,18}[0-9]{2,2}

Source

```
<xs:simpleType name="LEIdentifier" >  
  <xs:restriction base="xs:string" >  
    <xs:pattern value="[A-Z0-9]{18,18}[0-9]{2,2}" />  
  </xs:restriction>  
</xs:simpleType>
```

Linkages- Linkages (complex type)

Description Linkages to related messages

Components [PrvsSndrMsgRef](#)
[TradRefId](#){0,2}

Source <xs:complexType name="Linkages" >


```

<xs:sequence >
  <xs:element name="PrvsSndrMsgRef" type="Max16Text" />
  <xs:element name="TradRefId" type="TradeReference" minOccurs="0" maxOccurs="2" />
</xs:sequence>
</xs:complexType>

```

Linkages/PrvsSndrMsgRef- PreviousReference (element)

Description Previous message reference

Type [Max16Text](#)

Source <xs:element name="PrvsSndrMsgRef" type="Max16Text" />

Linkages/TradRefId- TradeReference (element)

Description Trade reference

Type [TradeReference](#)

Source <xs:element name="TradRefId" type="TradeReference" minOccurs="0" maxOccurs="2" />

Max1Text- Max1Text (simple type)

Description Specifies a character string with a maximum length of 1 character.

Type Derived from: xs:string

Format Min. length=1
Max. length=1

Source <xs:simpleType name="Max1Text" >
 <xs:restriction base="xs:string" >
 <xs:minLength value="1" />
 <xs:maxLength value="1" />
 </xs:restriction>
 </xs:simpleType>

Max16Text- Max16Text (simple type)

Description Specifies a character string with a maximum length of 16 characters.

Type Derived from: xs:string

Format Min. length=1
Max. length=16

Source <xs:simpleType name="Max16Text" >
 <xs:restriction base="xs:string" >
 <xs:minLength value="1" />
 <xs:maxLength value="16" />
 </xs:restriction>
 </xs:simpleType>

Max20Text- Max20Text (simple type)

Description Specifies a character string with a maximum length of 20 characters.

Type Derived from: xs:string

Format Min. length=1
Max. length=20

Source

```
<xs:simpleType name="Max20Text" >
  <xs:restriction base="xs:string" >
    <xs:minLength value="1" />
    <xs:maxLength value="20" />
  </xs:restriction>
</xs:simpleType>
```

Max50Text- Max50Text (simple type)

Description Specifies a character string with a maximum length of 50 characters.

Type Derived from: xs:string

Format Min. length=1
Max. length=50

Source

```
<xs:simpleType name="Max50Text" >
  <xs:restriction base="xs:string" >
    <xs:minLength value="1" />
    <xs:maxLength value="50" />
  </xs:restriction>
</xs:simpleType>
```

Max52Text- Max52Text (simple type)

Description Specifies a character string with a maximum length of 52 characters.

Type Derived from: xs:string

Format Min. length=1
Max. length=52

Source

```
<xs:simpleType name="Max52Text" >
  <xs:restriction base="xs:string" >
    <xs:minLength value="1" />
    <xs:maxLength value="52" />
  </xs:restriction>
</xs:simpleType>
```

Max140Text- Max140Text (simple type)

Description Specifies a character string with a maximum length of 140 characters.

Type Derived from: xs:string

Format Min. length=1
Max. length=140

Source

```
<xs:simpleType name="Max140Text" >
```

```

<xs:restriction base="xs:string" >
  <xs:minLength value="1" />
  <xs:maxLength value="140" />
</xs:restriction>
</xs:simpleType>

```

OrganisationIdentification3Choice__1- OrganisationIdentification3Choice__1 (complex type)

Description Provides the identification of the organisation.

Components [LEI](#)
[ClntId](#)

Source

```

<xs:complexType name="OrganisationIdentification3Choice__1" >
  <xs:sequence >
    <xs:choice >
      <xs:element name="LEI" type="Max20Text" />
      <xs:element name="ClntId" type="ESMAMax50AlphaNumeric" />
    </xs:choice>
  </xs:sequence>
</xs:complexType>

```

OrganisationIdentification3Choice__1/LEI- LEI (element)

Description Identification is done through the use of legal entity identifier code.

Type [Max20Text](#)

Source

```

<xs:element name="LEI" type="Max20Text" />

```

OrganisationIdentification3Choice__1/ClntId- ClientIdentification (element)

Description Unique and unambiguous client identification of the organisation.

Type [ESMAMax50AlphaNumeric](#)

Source

```

<xs:element name="ClntId" type="ESMAMax50AlphaNumeric" />

```

OptionParty1Code- OptionParty1Code (simple type)

Description Specifies if a trade party is a buyer or a seller.

Type Derived from: xs:string

Format	Code	Description
	S	Seller
	B	Buyer

Source

```

<xs:simpleType name="OptionParty1Code" >
  <xs:restriction base="xs:string" >
    <xs:enumeration value="S" />
    <xs:enumeration value="B" />
  </xs:restriction>
</xs:simpleType>

```

Reason- Reason (complex type)

Description Reason

Components [RsnCd](#){0,1}
[RsnTxt](#){0,1}

Source

```
<xs:complexType name="Reason" >  
  <xs:sequence >  
    <xs:element name="RsnCd" type="Code4Text" minOccurs="0" />  
    <xs:element name="RsnTxt" type="Max140Text" minOccurs="0" />  
  </xs:sequence>  
</xs:complexType>
```

Reason/RsnCd- ReasonCode (element)

Description Reason code

Type [Code4Text](#)

Source

```
<xs:element name="RsnCd" type="Code4Text" minOccurs="0" />
```

Reason/RsnTxt- ReasonText (element)

Description Reason description

Type [Max140Text](#)

Source

```
<xs:element name="RsnTxt" type="Max140Text" minOccurs="0" />
```

RegulationIndicator- Regulation indicator (simple type)

Description Indicator of applicable regulation: EMIR, MIFIR or both

Type Derived from: xs:string

Format	Code	Description
	E	EMIR
	M	MIFIR
	B	Both EMIR and MIFIR

Source

```
<xs:simpleType name="RegulationIndicator" >  
  <xs:restriction base="xs:string" >  
    <xs:enumeration value="E" />  
    <xs:enumeration value="M" />  
    <xs:enumeration value="B" />  
  </xs:restriction>  
</xs:simpleType>
```

ReportDetails_1- ReportDetails (complex type)

Description Report details

Components [EligDt{0,1}](#)
 [RgIntInd](#)
 [CtrPty{1,2}](#)
 [TxData](#)

Source <xs:complexType name="ReportDetails_1" >
 <xs:sequence >
 <xs:element name="EligDt" type="ISODate" minOccurs="0" />
 <xs:element name="RgIntInd" type="RegulationIndicator" />
 <xs:element name="CtrPty" type="Counterparty_TR" minOccurs="1" maxOccurs="2" />
 <xs:element name="TxData" type="TradeTransaction11__3" />
 </xs:sequence>
 </xs:complexType>

ReportDetails_1/EligDt- Eligible date (element)

Description Eligible date

Type [ISODate](#)

Source <xs:element name="EligDt" type="ISODate" minOccurs="0" />

ReportDetails_1/RgIntInd- Regulation indicator (element)

Description Regulation indicator

Type [RegulationIndicator](#)

Source <xs:element name="RgIntInd" type="RegulationIndicator" />

ReportDetails_1/CtrPty- Counterparty (element)

Description Data specific to counterparties of the reported transaction/position.

Type [Counterparty_TR](#)

Source <xs:element name="CtrPty" type="Counterparty_TR" minOccurs="1" maxOccurs="2" />

ReportDetails_1/TxData- TransactionData (element)

Description Data related specifically to a trade transaction.

Type [TradeTransaction11__3](#)

Source <xs:element name="TxData" type="TradeTransaction11__3" />

Status- Status (complex type)

Description Status

Components [StsCd](#)
 [Rsn{0,1}](#)

Source `<xs:complexType name="Status" >
<xs:sequence >
 <xs:element name="StsCd" type="Code4Text" />
 <xs:element name="Rsn" type="Reason" minOccurs="0" />
</xs:sequence>
</xs:complexType>`

Status/StsCd- StatusCode (element)

Description Status code

Type [Code4Text](#)

Source `<xs:element name="StsCd" type="Code4Text" />`

Status/Rsn- Reason (element)

Description Reason

Type [Reason](#)

Source `<xs:element name="Rsn" type="Reason" minOccurs="0" />`

TradeReference- TradeReference (complex type)

Description Trade references

Components [UnqTradIdr](#)
[RptgCtrPtyId](#)
[OthrCtrPtyId](#)

Source `<xs:complexType name="TradeReference" >
<xs:sequence >
 <xs:element name="UnqTradIdr" type="Max52Text" />
 <xs:element name="RptgCtrPtyId" type="Max20Text" />
 <xs:element name="OthrCtrPtyId" type="Max50Text" />
</xs:sequence>
</xs:complexType>`

TradeReference/UnqTradIdr- UniqueTradeIdentifier (element)

Description Unique Trade identifier

Type [Max52Text](#)

Source `<xs:element name="UnqTradIdr" type="Max52Text" />`

TradeReference/RptgCtrPtyId- CounterpartyIdentification (element)

Description Counterparty identification

Type [Max20Text](#)

Source `<xs:element name="RptgCtrPtyId" type="Max20Text" />`

TradeReference/OthrCtrPtyId- OtherCounterpartyIdentification (element)

Description Other counterparty identification

Type [Max50Text](#)

Source `<xs:element name="OthrCtrPtyId" type="Max50Text" />`

TradeTransaction11__3- TradeTransaction11__3 (complex type)

Description Provides details of trade transaction.

Components [UnqTradIdr](#)

Source `<xs:complexType name="TradeTransaction11__3" >
<xs:sequence >
 <xs:element name="UnqTradIdr" type="Max52Text" />
</xs:sequence>
</xs:complexType>`

TradeTransaction11__3/UnqTradIdr- UniqueTradeIdentifier (element)

Description Unique trade Identifier (UTI) as agreed with the other counterparty.

Type [Max52Text](#)

Source `<xs:element name="UnqTradIdr" type="Max52Text" />`

trar.sts.003.02- Report status message after substantive check (complex type)

Description Report status message after substantive check

Components [GnlInf](#)
[RptDtIs](#)
[Sts](#)

Source `<xs:complexType name="trar.sts.003.02" >
<xs:sequence >
 <xs:element name="GnlInf" type="GeneralInformation" />
 <xs:element name="RptDtIs" type="ReportDetails_1" />
 <xs:element name="Sts" type="Status" />
</xs:sequence>
</xs:complexType>`

trar.sts.003.02/GnlInf- General information (element)

Description General information

Type [GeneralInformation](#)

Source `<xs:element name="GnlInf" type="GeneralInformation" />`

trar.sts.003.02/RptDtls- ReportDetails (element)

Description Report details.

Type [ReportDetails_1](#)

Source <xs:element name="RptDtls" type="ReportDetails_1" />

trar.sts.003.02/Sts- Status (element)

Description Status

Type [Status](#)

Source <xs:element name="Sts" type="Status" />