

System KDPW_TR

**Message: SFTR - Entity relations
message (auth.rlt.001.01)**

Description:

SFTR - Message used to define relations between RSE and RCs

Structure:

Pos	Or	< XML Tag >	Name	Multiplicity	Type
0		Document	Document	[1..1]	Document
0.1		RltnDataMsg	Entity relations message	[1..1]	EntityRelationsDataMessage
1		GnlInf	General information	[1..1]	GeneralInformation
1.1		RptgNtty	Report submitting entity Id	[1..1]	LEIIdentifier
2		RltnData	Relations	[1..n]	EntityRelationsData
2.1		TechRcrdId	Technical Record Id	[1..1]	Max140Text
2.2	{ or	New	New client data	[1..1]	ClientReferenceData
2.2.1		RcId	Counterparty id	[1..1]	LEIIdentifier
2.2.2		RcNtr	Counterparty nature	[1..1]	CounterpartyNature
2.2.2.1	{ or	RcFcNtr	Financial counterparty	[1..1]	FinancialNature
2.2.2.1.1		RclsFund	RC is investment fund	[1..1]	YesNoIndicator
2.2.2.2	or }	RcnfcNtr	Nonfinancial counterparty	[1..1]	NonFinancialNature
2.2.2.2.1		RcnfcNtrCd	Nonfinancial counterparty nature	[1..1]	CounterPartyNonfinancialTypeCode
2.2.3		RcRelTp	RC relation type	[0..1]	RCRelationType
2.2.3.1	{ or	RcMngFund	RC is investment funds managed by RSE or by Entity from capital group	[1..1]	YesNoIndicator
2.2.3.2	or }	RcCptlGrp	RC belongs to the same capital group as RSE	[1..1]	YesNoIndicator
2.3	or	Cxl	Relation cancellation	[1..1]	RelationCancellationData
2.3.1		RcId	Counterparty id	[1..1]	LEIIdentifier
2.4	or }	Updt	Updated client data	[1..1]	ClientReferenceData
2.4.1		RcId	Counterparty id	[1..1]	LEIIdentifier
2.4.2		RcNtr	Counterparty nature	[1..1]	CounterpartyNature
2.4.2.1	{ or	RcFcNtr	Financial counterparty	[1..1]	FinancialNature
2.4.2.1.1		RclsFund	RC is investment fund	[1..1]	YesNoIndicator
2.4.2.2	or }	RcnfcNtr	Nonfinancial counterparty	[1..1]	NonFinancialNature
2.4.2.2.1		RcnfcNtrCd	Nonfinancial counterparty nature	[1..1]	CounterPartyNonfinancialTypeCode
2.4.3		RcRelTp	RC relation type	[0..1]	RCRelationType
2.4.3.1	{ or	RcMngFund	RC is investment funds managed by RSE or by Entity from capital group	[1..1]	YesNoIndicator

2.4.3.2	or }	RcCptGrp	RC belongs to the same capital group as RSE	[1..1]	YesNoIndicator
---------	------	--------------------------	---	--------	--------------------------------

Message components:

Document- Document (element)

Description Document

Type [Document](#)

Source `<xs:element name="Document" type="Document" />`

ClientReferenceData- Client data (complex type)

Description Client reference data

Components [RcId](#)
[RcNtr](#)
[RcRelTp](#){0,1}

Source `<xs:complexType name="ClientReferenceData" >
<xs:sequence >
<xs:element name="RcId" type="LEIIdentifier" />
<xs:element name="RcNtr" type="CounterpartyNature" />
<xs:element name="RcRelTp" type="RCRelationType" minOccurs="0" />
</xs:sequence>
</xs:complexType>`

ClientReferenceData/RcId- Counterparty id (element)

Description Unique code identifying the counterparty

Type [LEIIdentifier](#)

Source `<xs:element name="RcId" type="LEIIdentifier" />`

ClientReferenceData/RcNtr- Counterparty nature (element)

Description Nature of the counterparty

Type [CounterpartyNature](#)

Source `<xs:element name="RcNtr" type="CounterpartyNature" />`

ClientReferenceData/RcRelTp- RC relation type (element)

Description RC relation type

Type [RCRelationType](#)

Source `<xs:element name="RcRelTp" type="RCRelationType" minOccurs="0" />`

CounterpartyNature- Counterparty nature (complex type)

Description Counterparty nature

Components [RcFcNtr](#)
[RcNfcNtr](#)

Source

```
<xs:complexType name="CounterpartyNature" >
  <xs:sequence >
    <xs:choice >
      <xs:element name="RcFcNtr" type="FinancialNature" />
      <xs:element name="RcNfcNtr" type="NonFinancialNature" />
    </xs:choice>
  </xs:sequence>
</xs:complexType>
```

CounterpartyNature/RcFcNtr- Financial counterparty (element)

Description Financial counterparty

Type [FinancialNature](#)

Source

```
<xs:element name="RcFcNtr" type="FinancialNature" />
```

CounterpartyNature/RcNfcNtr- Nonfinancial counterparty (element)

Description Nonfinancial counterparty

Type [NonFinancialNature](#)

Source

```
<xs:element name="RcNfcNtr" type="NonFinancialNature" />
```

CounterPartyNonfinancialTypeCode- Party type code (simple type)

Description Party type code

Type Derived from: xs:string

Format	Code	Description
	SME	Nonfinancial minus
	LRG	Nonfinancial plus

Source

```
<xs:simpleType name="CounterPartyNonfinancialTypeCode" >
  <xs:restriction base="xs:string" >
    <xs:enumeration value="SME" />
    <xs:enumeration value="LRG" />
  </xs:restriction>
</xs:simpleType>
```

Document- Document (complex type)

Description Document

Components [RltnDataMsg](#)

Source `<xs:complexType name="Document" >
<xs:sequence >
 <xs:element name="RltnDataMsg" type="EntityRelationsDataMessage" />
</xs:sequence>
</xs:complexType>`

Document/RltnDataMsg- Entity relations message (element)

Description Entity relations message

Type [EntityRelationsDataMessage](#)

Source `<xs:element name="RltnDataMsg" type="EntityRelationsDataMessage" />`

EntityRelationsData- Client data (complex type)

Description Client data

Components [TechRcrdId](#)
[New](#)
[Cxl](#)
[Updt](#)

Source `<xs:complexType name="EntityRelationsData" >
<xs:sequence >
 <xs:element name="TechRcrdId" type="Max140Text" />
 <xs:choice >
 <xs:element name="New" type="ClientReferenceData" />
 <xs:element name="Cxl" type="RelationCancellationData" />
 <xs:element name="Updt" type="ClientReferenceData" />
 </xs:choice>
</xs:sequence>
</xs:complexType>`

EntityRelationsData/TechRcrdId- Technical Record Id (element)

Description Technical Record Id

Type [Max140Text](#)

Source `<xs:element name="TechRcrdId" type="Max140Text" />`

EntityRelationsData/New- New client data (element)

Description New client data

Type [ClientReferenceData](#)

Source `<xs:element name="New" type="ClientReferenceData" />`

EntityRelationsData/Cxl- Relation cancellation (element)

Description Relation cancellation

Type [RelationCancellationData](#)
Source <xs:element name="Cxl" type="RelationCancellationData" />

EntityRelationsData/Updt- Updated client data (element)

Description Updated client data
Type [ClientReferenceData](#)
Source <xs:element name="Updt" type="ClientReferenceData" />

EntityRelationsDataMessage- Entity relations message (complex type)

Description Entity relations message
Components [GnlInf](#)
[RltnData](#){1,unbounded}
Source <xs:complexType name="EntityRelationsDataMessage" >
 <xs:sequence >
 <xs:element name="GnlInf" type="GeneralInformation" />
 <xs:element name="RltnData" type="EntityRelationsData" maxOccurs="unbounded" />
 </xs:sequence>
</xs:complexType>

EntityRelationsDataMessage/GnlInf- General information (element)

Description General information
Type [GeneralInformation](#)
Source <xs:element name="GnlInf" type="GeneralInformation" />

EntityRelationsDataMessage/RltnData- Relations (element)

Description Relations
Type [EntityRelationsData](#)
Source <xs:element name="RltnData" type="EntityRelationsData" maxOccurs="unbounded" />

FinancialNature- Financial nature (complex type)

Description Financial nature
Components [RclsFund](#)
Source <xs:complexType name="FinancialNature" >
 <xs:sequence >
 <xs:element name="RclsFund" type="YesNoIndicator" />
 </xs:sequence>
</xs:complexType>

FinancialNature/RclsFund- RC is investment fund (element)

Description	RC is investment fund
Type	YesNoIndicator
Source	<code><xs:element name="RclsFund" type="YesNoIndicator" /></code>

GeneralInformation- General information (complex type)

Description	General information
Components	RptgNtty
Source	<code><xs:complexType name="GeneralInformation" > <xs:sequence > <xs:element name="RptgNtty" type="LEIdentifier" /> </xs:sequence> </xs:complexType></code>

GeneralInformation/RptgNtty- Report submitting entity Id (element)

Description	Report submitting entity Id
Type	LEIdentifier
Source	<code><xs:element name="RptgNtty" type="LEIdentifier" /></code>

ISODate- ISODate (simple type)

Description	ISO date
Type	Derived from: xs:date
Format	
Source	<code><xs:simpleType name="ISODate" > <xs:restriction base="xs:date" > </xs:restriction> </xs:simpleType></code>

LEIdentifier- LEIdentifier (simple type)

Description	Legal Entity Identifier is a code allocated to a party as described in ISO 17442 "Financial Services - Legal Entity Identifier (LEI)".
Type	Derived from: xs:string
Format	<code>xs:pattern=[A-Z0-9]{18,18}[0-9]{2,2}</code>
Source	<code><xs:simpleType name="LEIdentifier" > <xs:restriction base="xs:string" > <xs:pattern value="[A-Z0-9]{18,18}[0-9]{2,2}" /> </xs:restriction> </xs:simpleType></code>

Max140Text- Max140Text (simple type)

Description Specifies a character string with a maximum length of 140 characters.

Type Derived from: xs:string

Format Min. length=1
Max. length=140

Source

```
<xs:simpleType name="Max140Text" >
  <xs:restriction base="xs:string" >
    <xs:minLength value="1" />
    <xs:maxLength value="140" />
  </xs:restriction>
</xs:simpleType>
```

NonFinancialNature- Nonfinancial nature (complex type)

Description Nonfinancial nature

Components [RcNfcNtrCd](#)

Source

```
<xs:complexType name="NonFinancialNature" >
  <xs:sequence >
    <xs:element name="RcNfcNtrCd" type="CounterPartyNonfinancialTypeCode" />
  </xs:sequence>
</xs:complexType>
```

NonFinancialNature/RcNfcNtrCd- Nonfinancial counterparty nature (element)

Description Nonfinancial counterparty nature

Type [CounterPartyNonfinancialTypeCode](#)

Source

```
<xs:element name="RcNfcNtrCd" type="CounterPartyNonfinancialTypeCode" />
```

RCRelationType- Relation Type between RC and RSE (complex type)

Description Relation Type between RC and RSE

Components [RcMngFund](#)
[RcCptlGrp](#)

Source

```
<xs:complexType name="RCRelationType" >
  <xs:sequence >
    <xs:choice >
      <xs:element name="RcMngFund" type="YesNoIndicator" />
      <xs:element name="RcCptlGrp" type="YesNoIndicator" />
    </xs:choice>
  </xs:sequence>
</xs:complexType>
```

RCRelationType/RcMngFund- RC is investment funds managed by RSE or by Entity from capital group (element)

Description RC is investment funds managed by RSE or by Entity from capital group

Type [YesNoIndicator](#)
Source `<xs:element name="RcMngFund" type="YesNoIndicator" />`

RCRelationType/RcCptlGrp- RC belongs to the same capital group as RSE (element)

Description RC belongs to the same capital group as RSE
Type [YesNoIndicator](#)
Source `<xs:element name="RcCptlGrp" type="YesNoIndicator" />`

RelationCancellationData- Relation cancellation (complex type)

Description Relation cancellation
Components [RcId](#)
Source `<xs:complexType name="RelationCancellationData" >
 <xs:sequence >
 <xs:element name="RcId" type="LEIIdentifier" />
 </xs:sequence>
</xs:complexType>`

RelationCancellationData/RcId- Counterparty id (element)

Description Unique code identifying the counterparty
Type [LEIIdentifier](#)
Source `<xs:element name="RcId" type="LEIIdentifier" />`

YesNoIndicator- YesNoIndicator (simple type)

Description Indicates a "Yes" or "No" type of answer for an element.
Type Derived from: xs:boolean
Format
Source `<xs:simpleType name="YesNoIndicator" >
 <xs:restriction base="xs:boolean" >
 </xs:restriction>
</xs:simpleType>`