

Content and Formal Checks of ARM Reports

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Document history

DATE	VERSION	DESCRIPTION
14.11.2017	1.0	Creation
14.12.2017	2.0	Addition of rules: C006, C007, C008, C312, C702, C703, E016, E017, S010, Modification of rules: updated rules: C003, C004, C006, K004.
02.03.2018	3.0	Addition and modification of checks: - new checks: D002; D003; D007; D009; E016; E017; - modified description of checks: C306; R203; R204; R205; R207; R210; - deleted checks: C203; C502; C602; S281.
05.11.2018	4.0	Addition of checks: K019, K020.
23.09.2019	5.0	Correction in rules: R029, R068, R193, R198, S010, S136
31.05.2022	6.0	Modification and addition of checks: R034, R039, R045, R065, R068, R076, R193, R199, R200, R210, R211, R212, R213, R214, R215, R216.

Background

This document presents the content checks performed when a transaction is being accepted in KDPW_ARM.

The application performs the following functions:

- Addition of default values in trade messages;
- Content checks based on:
 - Parameters;
 - Dictionaries;
 - Calendars.

Checks are based on the ESMA rules and the KDPW_ARM rules.

The ESMA rules are defined in DRAFT15auth.016.001.01_ESMAUG_Report. They are coded according to the pattern: R1, R2, (...), R12, (...), (...), R112, (...). To introduce a uniform format in this document, the rules are

converted to a four-character format as follows: R001, R002, R012, R112. The checks defined only in the ESMA validation rules: 2016-1521_annex_1_mifir_transaction_reporting_validation_rules are coded according to the pattern: SXXX, where X is an ordinal number.

The checks according to the KDPW_ARM rules are coded as follows:

- The rules are linked to the message subject to validation:
 - auth.rpt.001.01 is subject to rules DXXX and KXXX, where X is an ordinal number;
 - auth.clt.001.01 is subject to rules CXXX, where X is an ordinal number;
 - auth.enr.001.01 is subject to rules EXXX, where X is an ordinal number.
- CXXX rules are linked to the validation of personal data and the SHORTCODE reported in auth.rpt.001.01 and auth.enr.001.01;
- The ESMA validation rules apply to auth.enr.001.01.

The missing data or data error status will be reported together with the error code and description. The error codes are seven-character codes in the format: XXX-XXX, where X is an alphanumeric character. In all cases where error codes can be used as defined in the ESMA validation rules: 2016-1521_annex_1_mifir_transaction_reporting_validation_rules, the error codes follow the pattern CON-XXX, where X is an ordinal number.

KDPW_ARM error codes are as follows:

- The rules are linked to the message subject to validation:
 - auth.rpt.001.01 is subject to rules:
 - KON-XXX for content checks, where X is an ordinal number:
 - for validation defined by ESMA which have no error code;
 - for KDPW_ARM validations which have no counterpart in the ESMA error codes;
 - DON-XXX for KDPW_ARM formal checks;
 - auth.clt.001.01 is subject to rules CLT-XXX, where X is an ordinal number;
 - auth.enr.001.01 is subject to rules ENR-XXX, where X is an ordinal number.
- The error code CLT-XXX is linked to the validation of personal data and the SHORTCODE reported in auth.rpt.001.01 and auth.enr.001.01.
- The ESMA validation rules apply to auth.enr.001.01

I. Content validation of transaction detail reports

I. 1. ESMA rules

This section presents the ESMA rules defined in DRAFT15auth.016.001.01_ESMAUG_Report. The rules are accompanied by error codes defined by ESMA or, in the absence thereof, KDPW_ARM error codes.

R001 - R1 Rule "ESMA_Date_Comparison".

Checks that the receipt date of the transaction cancellation report is not earlier than the date of the (previously reported) transaction to be cancelled.

Tag, section: 1.2 Cxl (in the context of the value in the originally registered transaction) 1.1.8.1 [TradDt](#)

Status	Error code	Description
ARRJ	CON-280	Przyszła data transakcji / Trade date is in the future

R002 - R2 Rule "ESMA_Investment Decision Person Absent Four_Complex Rule"

Checks that the investment decision person within the IF is absent if the transmitting entity is present.

Tag, section: 1.1.10 [InvstmtDcsnPrsn](#)

Status	Error code	Description
ARRJ	CON-572	Podejmujący decyzję wewnątrz firmy nie może występować / Investment decision identifier should be blank

R003 - R3 Rule "ESMA_Investment Decision_Person Absent One_Complex Rule"

Checks that the investment decision person within the IF is absent if the transmitting entity is absent.

Tag, section: 1.1.10 [InvstmtDcsnPrsn](#)

Status	Error code	Description
ARRJ	CON-571	Podejmujący decyzję wewnątrz firmy nie może występować / Investment decision identifier should be blank

R004 - R4 Rule "ESMA_Investment Decision_Person_Absent_Three_Complex Rule"

Checks that the investment decision person within the IF is absent if the transmitting entity is present.

Tag, section: 1.1.10 [InvstmtDcsnPrsn](#)

Status	Error code	Description
ARRJ	CON-572	Podejmujący decyzję wewnątrz firmy nie może występować / Investment decision identifier should be blank

R005 - R5 Rule "ESMA_Investment Decision_Person_Absent Two_Complex Rule"

Checks that the investment decision person within the IF is absent if the transmitting entity is absent.

Tag, section: 1.1.10 [InvstmtDcsnPrsn](#)

Status	Error code	Description
ARRJ	CON-571	Podejmujący decyzję wewnątrz firmy nie może występować / Investment decision identifier should be blank

R006 - R6 Rule "ESMA_Not Allowed Currencies_Complex Rule"

Checks the currency code in the field Strike Price.

Tag, section: 1.1.9.2.3.8.1 [Intrst](#) (1.1.9.2.3.8.1.1 [OthrNtnlCcy](#))

Status	Error code	Description
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ARRJ	CON-520	Kod waluty XXX jest nieprawidłowy na datę zawarcia transakcji / Currency code XXX is not valid for the trade date
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R007 – R7 Rule "ESMA_Not Allowed Currencies_complex Rule"

Checks the currency code in the field Strike Price.

Tag, section: 1.1.9.2.3.5 StrkPric

Status	Error code	Description
ARRJ	CON-520	Kod waluty XXX jest nieprawidłowy na datę zawarcia transakcji / Currency code XXX is not valid for the trade date

R008 – R8 Rule "ESMA_Not Allowed Currencies_complex Rule"

Checks the currency code in the field Notional Currency 1 and Notional Currency 2.

Tag, section: 1.1.9.2.1.4 NtnlCcy

Status	Error code	Description
ARRJ	CON-440	Kod waluty XXX jest nieprawidłowy na datę zawarcia transakcji / Currency code XXX is not valid for the trade date
ARRJ	CON-451	Kod waluty XXX jest nieprawidłowy na datę zawarcia transakcji / Currency code XXX is not valid for the trade date

R009 – R9 Rule "ESMA_Not Allowed Currencies_complex Rule"

Checks the currency code in the field Monetary Value.

Tag, section: 1.1.9.2.3.5.1.1 MntryVal

Status	Error code	Description
ARRJ	CON-520	Kod waluty XXX jest nieprawidłowy na datę zawarcia transakcji / Currency code XXX is not valid for the trade date

R010 – R10 Rule "ESMA_Not Allowed Currencies_complex Rule"

Checks the currency code in the field Foreign Exchange.

Tag, section: 1.1.9.2.3.8.2 FX (1.1.9.2.3.8.1.1 OthrNtnlCcy)

Status	Error code	Description
ARRJ	KON-520	Kod waluty XXX jest nieprawidłowy na datę zawarcia transakcji / Currency code XXX is not valid for the trade date

R011 – R11 Rule "ESMA_Net_Amount_Absent_Complex_Rule"

Checks that Net Amount is present for bond transactions if CFI follows the 'DB....' pattern.

Tag, section: 1.1.8.6 NetAmt

Status	Error code	Description
ARRJ	CON-350	Nie została podana kwota netto / Net amount is missing

R012 – R12 Rule "ESMA_Debt_Instrument_Reported_Price_Absent_Complex_Rule"

Checks for debt transactions where CFI (in tag 1.1.9.2.1.3 ClssfctnTp or the value attributed in the FIRDS list to the instrument in field 1.1.9.1 ISIN) follows the 'DB....' pattern that the price is not a Percentage Value.

Tag, section: 1.1.8.5.1.2 Pctg

Status	Error code	Description
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ARRJ	CON-330	Wyrażenie kursu jest nieprawidłowe / Price notation is incorrect
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R013 – R13 Rule "ESMA_Investment_Ddecision_Maker_Person_Absent_Complex_Rule"

Checks that the investment decision person within the IF is absent.

Tag, section: 1.1.10 InvstmtDcsnPrsn

Status	Error code	Description
ARRJ	CON-571	Podejmujący decyzję inwestycyjną wewnątrz firmy powinien być pominięty / Investment decision identifier should be blank

R014 – R14 Rule "ESMA_Investment_Ddecision_Maker_Person_Mandatory_Three_Complex_Rule"

Checks that the investment decision person within the IF is present if the IF is the seller decision maker.

Tag, section: 1.1.10 InvstmtDcsnPrsn

Status	Error code	Description
ARRJ	CON-570	Brak podejmującego decyzję inwestycyjną wewnątrz firmy / Investment decision identifier is missing

R015 – R15 Rule "ESMA_Investment_Ddecision_Maker_Person_Mandatory_Two_Complex_Rule"

Checks that the investment decision person within the IF is present if the IF is the buyer decision maker.

Tag, section: 1.1.10 InvstmtDcsnPrsn

Status	Error code	Description
ARRJ	CON-570	Brak podejmującego decyzję inwestycyjną wewnątrz firmy / Investment decision identifier is missing

R016 – R16 Rule "ESMA_Investment_Ddecision_Maker_Person_Mandatory_Complex_Rule"

Checks that the investment decision person within the IF is present if the IF executes the transaction for its own account.

Tag, section: 1.1.10 InvstmtDcsnPrsn

Status	Error code	Description
ARRJ	CON-570	Brak podejmującego decyzję inwestycyjną wewnątrz firmy / Investment decision identifier is missing

R017 – R17 Rule "ESMA_Transmission_Order_False Complex_Rule"

Checks the transmission indicator if the investment firm executes the transaction for its own account or is a transaction internaliser.

Tag, section: 1.1.7.1 TrnsmssnInd

Status	Error code	Description
ARRJ	CON-251	Nieprawidłowy wskaźnik przekazania zlecenia / Transmission of order indicator is incorrect

R018 – R18 Rule "ESMA_WaiverIndicator_Absent_Complex Rule"

Checks that the waiver indicator is absent for transactions executed on a trading venue.

Tag, section: 1.1.12.1 Wvrlnd

Status	Error code	Description
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ARRJ	CON-610	Wskaźnik zwolnienia niezgodny z miejscem zawarcia transakcji / Waiver indicator is inconsistent with trading venue
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R019 – R19 Rule "ESMA_Date_Comparison"

Check if the report receipt date is on the same day or after the trade date.

Tag, section: 1.1.8.1 **TradDt**

Status	Error code	Description
ARRJ	CON-280	Data transakcji nie może być datą przyszłą / Trade date is in the future

R020 – R20 Rule "ESMA_UpFrontPayment_Mandatory_Complex Rule"

Checks that Upfront Payment is present if the reported CFI (in tag 1.1.9.2.1.3 **ClssfctnTp** or the value attributed in the FIRDS list to the instrument in field 1.1.9.1 **ISIN**) follows the 'SC....' pattern.

Tag, section: 1.1.8.9 **UpFrntPmt**

Status	Error code	Description
ARRJ	CON-380	Pole płatność z góry musi występować / Up-front payment is missing

R021 – R21 Rule "ESMA_Buyer_Executing_Party_Complex Rule"

Checks that the LEI of the buyer is equal to the LEI of the executing firm if the firm executes the transaction for its own account 'DEAL'.

Tag, section: 1.1.5.1.1.1 **LEI**

Status	Error code	Description
ARRJ	CON-290	Gdy firma działa na własny rachunek 'DEAL' LEI kupującego lub sprzedającego musi być zgodne z LEI firmy wykonującej / When using 'DEAL' either Buyer or Seller should be identical with the 'executing firm identification'

R022 – R22 Rule "ESMA_Seller_Executing_Party_Complex Rule"

Checks that the LEI of the seller is equal to the LEI of the executing firm if the firm executes the transaction for its own account 'DEAL'.

Tag, section: 1.1.6.1.1.1 **LEI**

Status	Error code	Description
ARRJ	CON-290	Gdy firma działa na własny rachunek 'DEAL' LEI kupującego lub sprzedającego musi być zgodne z LEI firmy wykonującej / When using 'DEAL' either Buyer or Seller should be identical with the 'executing firm identification'

R023 – R23 Rule "ESMA_Expiry_Date_Trade_Date_Complex Rule"

Checks that the expiry date of the derivative is not earlier than the trade date.

Tag, section: 1.1.9.2.3.1 **XpryDt**

Status	Error code	Description
ARRJ	CON-552	Nieprawidłowa data wygaśnięcia instrumentu pochodnego / Expiry date is incorrect

R024 – R24 Rule "ESMA_Maturity_Date_Trade_Date_Complex Rule"

Checks that the maturity date of the instrument is not earlier than the trade date.

Tag, section: 1.1.9.2.2.1 **MtrtyDt**

Status	Error code	Description
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ARRJ	CON-541	Nieprawidłowa data wykupu instrumentu / Maturity date is incorrect
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R025 – R25 Rule "ESMA_Not_Allowed_Currencies_Complex Rule"

Checks the currency code for No Price.

Tag, section: 1.1.8.5.2 NoPric (Ccy)

Status	Error code	Description
ARRJ	CON-340	Kod waluty XXX jest nieprawidłowy na datę zawarcia / Currency code XXX is not valid for the trade date

R026 – R26 Rule "ESMA_Transaction_Identification"

Checks the uniqueness of the transaction reference number.

Tag, section: 1.1.1 TxId

Status	Error code	Description
ARRJ	CON-023	Wskazany TRN został już przekazany przy innym raporcie i nie został usunięty / Transaction report with the same TRN has already been sent for the firm and not cancelled

R027 – R27 Rule "ESMA_Transaction_Identification"

Checks that the transaction to be cancelled was previously reported.

Tag, section: 1.1.1 TxId

Status	Error code	Description
ARWR	CON-024	Nie można odnaleźć transakcji do anulowania / Transaction for cancellation cannot be found

R028 – R28 Rule "ESMA_Transaction_Identification"

Checks that transaction to be cancelled has been cancelled.

Tag, section: 1.1.1 TxId

Status	Error code	Description
ARWR	CON-025	Transakcja już została anulowana / Transaction has already been cancelled

R029 – R29 Rule "ESMA_Executing_Party"

Checks that the LEI of the executing firm is included in the GLEIF database (if IF indicator is 'true') – the LEI must be ISSUED.

Tag, section: 1.1.2.1 LEI

Status	Error code	Description
ARRJ	CON-040	LEI XXX firmy wykonującej transakcje jest nieprawidłowe / Reporting entity LEI XXX is not valid

R030 – R30 Rule "ESMA_Executing_Party"

Checks that the LEI of the executing firm is included in the GLEIF database (if IF indicator is 'false').

Tag, section: 1.1.2.1 LEI

Status	Error code	Description
ARRJ	CON-041	LEI XXX firmy wykonującej transakcje jest nieprawidłowe / Reporting entity LEI XXX is not valid

R031 – R31 Rule "ESMA_Submitting_Party"

Checks that the LEI of the submitting entity is included in the GLEIF database.

Tag, section: 1.1.4.1 **LEI**

Status	Error code	Description
ARRJ	CON-060	LEI XXX składającego raport jest nieprawidłowe / Submitting entity LEI XXX is not valid

R032 – R32 Rule "ESMA_Buyer"

Checks that the country code of the national identifier NIDN of the buyer is an ISO 3166 code at the trading date.

Tag, section: 1.1.5.1.1.3.4.1 **ld**

Status	Error code	Description
ARRJ	CON-071	Nieprawidłowy kod kraju wskazany w identyfikatorze krajowym kupującego / Buyer national identification code does not include valid country code

R033 – R33 Rule "ESMA_Buyer"

Checks the birthdate of the buyer in the CONCAT code.

Tag, section: 1.1.5.1.1.3.4.1 **ld**

Status	Error code	Description
ARRJ	CON-073	Identyfikator CONCAT jest nieprawidłowy / The CONCAT code is incorrect

R034 – R34 Rule "ESMA_Buyer"

Checks that the LEI of the buyer is included in the GLEIF database.

Tag, section: 1.1.5.1.1.1 **LEI**

Status	Error code	Description
ARRJ	CON-070	LEI kupującego jest nieprawidłowe / Buyer LEI is not valid

R035 – R35 Rule "ESMA_Mic_Values Exluded"

Checks that the MIC of the buyer identifies the market (i.e., it is other than 'XXXX' and 'XOFF') and is an ISO 10383 code.

Tag, section: 1.1.5.1.1.2 **MIC**

Status	Error code	Description
ARRJ	CON-072	Kod MIC XXX kupującego jest nieprawidłowy na datę transakcji / Buyer MIC XXX is not valid for the trade date

R036 – R36 Rule "ESMA_Nidn_Ccpt_Validation_Complex_Rule"

Checks the format of the buyer's identifier reported as NIND (national identifier) or CCPT (passport number).

Tag, section: 1.1.5.1.1.3.4.1 Id		
Status	Error code	Description
ARRJ	CON-074	Nieprawidłowy format kodu identyfikacyjnego nabywcy / The format of the buyer identification code is incorrect

R037 – R37 Rule "ESMA_Concat_Validation_Complex_Rule" Checks the format of the buyer's identifier reported as CONCAT.		
Tag, section: 1.1.5.1.1.3.4.1 Id		
Status	Error code	Description
ARRJ	CON-074	Nieprawidłowy format kodu identyfikacyjnego nabywcy / The format of the buyer identification code is incorrect

R038 – R38 Rule "ESMA_Country_Of_Branch_Of_Buyer" Checks that the country code of the branch of the buyer is an ISO 3166 code.		
Tag, section: 1.1.5.1.2 CtryOfBrnch		
Status	Error code	Description
ARRJ	CON-080	Nieprawidłowy kod kraju oddziału kupującego na datę transakcji / Country code of branch is not valid for the trade date

R039 – R39 Rule "ESMA_Buyer_Decision_Maker" Checks that the LEI of the buyer is included in the GLEIF database.		
Tag, section: 1.1.5.2.1 LEI		
Status	Error code	Description
ARRJ	CON-120	LEI podejmującego decyzję w imieniu kupującego jest nieprawidłowe / Buyer decision maker LEI is not valid

R040 – R40 Rule "ESMA_Buyer_Decision_Maker" Checks the birthdate in the CONCAT code of the buyer decision maker.		
Tag, section: 1.1.5.2.2.4.1 Id		
Status	Error code	Description
ARRJ	CON-122	Identyfikator CONCAT jest nieprawidłowy / The CONCAT code is incorrect

R041 – R41 Rule "ESMA_Buyer_Decision_Maker" Checks that the country code of the NIND national identifier of the buyer decision maker is an ISO 3166 code.		
Tag, section: 1.1.5.2.2.4.1 Id		
Status	Error code	Description
ARRJ	CON-121	Nieprawidłowy kod kraju w identyfikatorze krajowym podejmującego decyzję w imieniu kupującego / Buyer decision maker national identification code does not include valid country code

R042 – R42 Rule "ESMA_Nidn_Ccpt_Validation_Complex_Rule"		
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Checks the format of the NIDN or CCPT of the buyer decision maker.

Tag, section: 1.1.5.2.2.4.1 **Id**

Status	Error code	Description
ARRJ	CON-123	Nieprawidłowy format kodu decydenta nabywcy / The format of the buyer decision maker code is incorrect

R043 – R43 Rule "ESMA_Concat_Validation_Complex_Rule"

Checks the format of the CONCAT code of the buyer decision maker.

Tag, section: 1.1.5.2.2.4.1 **Id**

Status	Error code	Description
ARRJ	CON-123	Nieprawidłowy format kodu decydenta nabywcy / The format of the buyer decision maker code is incorrect

R044 – R45 Rule "ESMA_Seller"

Checks the birthdate in the CONCAT code of the seller.

Tag, section: 1.1.6.1.1.3.4.1 **Id**

Status	Error code	Description
ARRJ	CON-163	Identyfikator CONCAT jest nieprawidłowy / The CONCAT code is incorrect

R045 – R46 Rule "ESMA_Seller"

Checks that the LEI of the seller is included in the GLEIF database.

Tag, section: 1.1.6.1.1.1 **LEI**

Status	Error code	Description
ARRJ	CON-160	LEI sprzedającego jest nieprawidłowe / Seller LEI XXX is not valid

R046 – R44 Rule "ESMA_Seller"

Checks that the country code of the NIND national identifier of the seller is an ISO 3166 code.

Tag, section: 1.1.6.1.1.3.4.1 **Id**

Status	Error code	Description
ARRJ	CON-161	Nieprawidłowy kod kraju wskazany w identyfikatorze krajowym sprzedającego / Seller national identification code does not include valid country code

R047 – R47 Rule "ESMA_Mic_Excluded_Values_Complex_Rules"

Checks that the MIC of the seller identifies the market (i.e., it is other than 'XXXX' and 'XOFF').

Tag, section: 1.1.6.1.1.2 **MIC**

Status	Error code	Description
ARRJ	CON-162	Kod MIC sprzedającego jest nieprawidłowy na datę transakcji / Seller MIC is not valid for the trade date

R048 – R48 Rule "ESMA_Seller_Mic"

Checks that the MIC of the buyer is an ISO 10383 code.

Tag, section: 1.1.6.1.1.2 **MIC**

Status	Error code	Description
ARRJ	CON-162	Kod MIC sprzedającego jest nieprawidłowy na datę transakcji / Seller MIC is not valid for the trade date

R049 – R49 Rule "ESMA_Nidn_Ccpt_Validation_Complex_Rule"

Checks the format of the NIDN or CCPT of the seller.

Tag, section: 1.1.6.1.1.3.4.1 **Id**

Status	Error code	Description
ARRJ	CON-164	Nieprawidłowy format kodu identyfikacyjnego sprzedającego / The format of the seller identification code is incorrect

R050 – R50 Rule "ESMA_Concat_Validation_Complex_Rule"

Checks the format of the CONCAT code of the seller.

Tag, section: 1.1.6.1.1.3.4.1 **Id**

Status	Error code	Description
ARRJ	CON-164	Nieprawidłowy format kodu identyfikacyjnego sprzedającego / The format of the seller identification code is incorrect

R051 – R51 Rule "ESMA_Country_Of_Branch_Of_Seller"

Checks that the country code of the branch of the seller is an ISO 3166 code.

Tag, section: 1.1.6.1.2 **CtryOfBrnch**

Status	Error code	Description
ARRJ	CON-170	Nieprawidłowy kod kraju oddziału sprzedającego na datę transakcji / Country code is not valid for the trade date

R052 – R52 Rule "ESMA_Seller_Decision_Maker"

Checks the birthdate in the CONCAT code of the seller decision maker.

Tag, section: 1.1.6.2.2.4.1 **Id**

Status	Error code	Description
ARRJ	CON-212	Identyfikator CONCAT jest nieprawidłowy / The CONCAT code is incorrect

R053 – R53 Rule "ESMA_Seller_Decision_Maker"

Checks that the country code of the NIND national identifier of the seller decision maker is an ISO 3166 code.

Tag, section: 1.1.6.2.2.4.1 **Id**

Status	Error code	Description
ARRJ	CON-211	Nieprawidłowy kod kraju wskazany w identyfikatorze krajowym podejmującego decyzję w imieniu Sprzedającego / Seller decision maker national identification code XXX does not include valid country code

R054 – R54 Rule "ESMA_Seller_Decision_Maker"

Checks that the LEI of the seller is included in the GLEIF database.

Tag, section: 1.1.6.2.1 **LEI**

Status	Error code	Description
ARRJ	CON-210	LEI XXX podejmującego decyzję w imieniu sprzedającego jest nieprawidłowe / Seller decision maker LEI XXX is not valid

R055 – R55 Rule "ESMA_Nidn_Ccpt_Validation_Complex_Rule"

Checks the format of the NIDN or CCPT of the seller decision maker.

Tag, section: 1.1.6.2.2.4.1 **Id**

Status	Error code	Description
ARRJ	CON-213	Nieprawidłowy format kodu decydenta sprzedającego / The format of the seller decision maker identification code XXX is incorrect

R056 – R56 Rule "ESMA_Concat_Validation_Complex_Rule"

Checks the format of the CONCAT code of the seller decision maker.

Tag, section: 1.1.6.2.2.4.1 **Id**

Status	Error code	Description
ARRJ	CON-213	Nieprawidłowy format kodu decydenta sprzedającego / The format of the seller decision maker identification code XXX is incorrect

R057 – R57 Rule "ESMA_Transmitting_Buyer"

Checks that the LEI of the transmitting buyer is included in the GLEIF database.

Tag, section: 1.1.7.2.1 **LEI**

Status	Error code	Description
ARRJ	CON-260	LEI firmy transmitującej zlecenie kupującego jest nieprawidłowe / Firm transmitting identification code for the buyer LEI is not valid

R058 – R58 Rule "ESMA_Transmitting_Seller"

Checks that the LEI of the transmitting seller is included in the GLEIF database.

Tag, section: 1.1.7.3.1 **LEI**

Status	Error code	Description
ARRJ	CON-270	LEI firmy transmitującej zlecenie sprzedającego jest nieprawidłowe / Firm transmitting identification code for the seller LEI is not valid

R059 – R59 Rule "ESMA_Trade_Place_Matching_Identification_Absent_Complex_Rule"

Checks that the trade matching place (trading venue) identification is absent.

Tag, section: 1.1.8.10 **TradPlcMtchgId** (when 1.1.8.7 **TradVn** is XXXX or XOFF)

Status	Error code	Description
ARRJ	KON-001	Identyfikacja miejsca matchowania transakcji powinna być pominięta / Trade Place Matching Identification should be blank

R060 – R60 Rule "ESMA_Trade_Date"

Checks that the trading date is not a future date.

Tag, section: 1.1.8.2 **TradDt**

Status	Error code	Description
ARRJ	CON-280	Przyszła data transakcji / Trading date time is in the future

R061 – R61 Rule "ESMA_Trading_Capacity"

Checks that the LEI of the seller or of the buyer is equal to the LEI of the executing firm if the firm executes the transaction for its own account 'DEAL'.

Tag, section: 1.1.8.2 **TradgCpcty**

Status	Error code	Description
ARRJ	CON-290	Gdy firma zawiera transakcje na własny rachunek 'DEAL' identyfikator kupującego lub sprzedającego musi być zgodny z LEI firmy wykonującej / When using 'DEAL' either Buyer or Seller should be identical with the 'executing firm identification'

R062 – R62 Rule "ESMA_Not_Allowed_Currencies_Complex_Rule "

Checks that the currency of the monetary value is an ISO 4217 code.

Tag, section: 1.1.8.3.3 **MntryVal**

Status	Error code	Description
ARRJ	CON-310	Kod waluty jest nieprawidłowy na dzień zawarcia / Currency code XXX is not valid for the trade date

R063 – R63 Rule "ESMA_Not_Allowed_Currencies_Complex_Rule"

Checks that the currency of the nominal value is an ISO 4217 code.

Tag, section: 1.1.8.3.2 **NmnlVal**

Status	Error code	Description
ARRJ	CON-310	Kod waluty jest nieprawidłowy na dzień zawarcia / Currency code XXX is not valid for the trade date

R064 – R64 Rule "ESMA_Price"

Checks that the price for debt instruments if the reported CFI follows the 'D....' pattern (in tag 1.1.9.2.1.3 **ClssfctnTp** or the value attributed in the FIRDS list to the instrument in field 1.1.9.1 **ISIN**) and which are reported in unit terms is not expressed in percentage terms.

Tag, section: 1.1.8.5.1.2 **Pctg**

Status	Error code	Description
ARRJ	CON-331	Nieprawidłowe wyrażenie ceny / Price notation is incorrect

R065 – R65 Rule "ESMA_Net_Amount"

Checks that the net amount is present for bond transactions if the reported CFI (in tag 1.1.9.2.1.3 **ClssfctnTp** or the value attributed in the FIRDS list to the instrument in field 1.1.9.1 **ISIN**) follows the 'DB....' 'DC....', 'DT....' lub 'DN....' pattern.

Tag, section: 1.1.8.6 **NetAmt**

Status	Error code	Description
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ARRJ	CON-351	Brak kwoty netto / Net amount is missing
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R066 – R66 Rule "ESMA_Trade_Venue"

Checks that the MIC code of the trade venue is an ISO 10383 code.

Tag, section: 1.1.8.7 [TradVn](#)

Status	Error code	Description
ARRJ	CON-360	Kod MIC segmentu rynku XXX jest nieprawidłowy / Venue MIC XXX is not valid for the trade date

R067 – R67 Rule "ESMA_Trade_Venue"

Checks that the MIC code of the trade venue for transactions in instruments included in the FIRDS list is other than 'XXXX'.

Tag, section: 1.1.8.7 [TradVn](#)

Status	Error code	Description
ARRJ	CON-361	Kod MIC XXXX nie może być użyty w raporcie / MIC XXXX can not be used

R068 – R68 Rule "ESMA_Country_Of_Branch"

Checks that the country code of the branch is present if the transaction is executed in EEA or on trading platforms outside the EU. Additionally, ESMA allows the lack of reporting of the branch country code for transactions, where the market code indicates that the transaction is performed through the Systematic Internalizer.

Tag, section: 1.1.8.8 [CtryOfBrnch](#)

Status	Error code	Description
ARRJ	CON-370	Brak kodu kraju oddziału / Country of branch membership is missing

R069 – R69 Rule "ESMA_Up_Front_Payment"

Checks that Upfront Payment is present if the CFI (in tag 1.1.9.2.1.3 [ClssfctnTp](#) or the value attributed in the FIRDS list to the instrument in field 1.1.9.1 [ISIN](#)) follows the 'SC....' pattern.

Tag, section: 1.1.8.9 [UpFrntPmt](#)

Status	Error code	Description
ARRJ	CON-381	Brak informacji o zapłacie z góry / Up-front payment is missing

R070 – R70 Rule "ESMA_Instrument_Identification"

Checks that ISIN is an ISO 6166 code including the check digit.

Tag, section: 1.1.9 [FinInstrm](#) (each ID tag designed to report ISIN code)

Status	Error code	Description
ARRJ	CON-410	Kod ISIN jest niezgodny z ISO / The ISIN code is invalid

R071 – R71 Rule "ESMA_Instrument_Identification"

Checks that the instrument ISIN is included the FIRDS list if the transaction is executed in EEA or not included if the transaction is an OTC transaction ('XXXX').

Tag, section: 1.1.9.1 [FinInstrm](#)

Status	Error code	Description
ARRW	CON-411	Kod ISIN nie występuje na liście FIRDS / ISIN is not included in FIRDS list

R072 – R72 Rule "ESMA_Expiry_Date_Must_Be_Present_Complex_Rule"

Checks that the expiry date of the derivative is present if the reported CFI follows the 'O....' pattern.

Tag, section: 1.1.9.2.3.1 [XpryDt](#)

Status	Error code	Description
ARRJ	CON-550	Data wygaśnięcia nie została podana / Expiry date is missing

R073 – R73 Rule "ESMA_Must_Be_CASH_Complex_Rule"

Checks that the delivery type is cash if the reported CFI follows the 'OP..C.' pattern.

Tag, section: 1.1.9.2.3.7 [DlvryTp](#)

Status	Error code	Description
ARRJ	CON-560	Nieprawidłowy typ dostawy / Delivery type is incorrect

R074 – R74 Rule ESMA_Strike_Price_And_Option_Exercise_Style_Must_Be_Absent_Complex_Rule"

Checks that the strike price and the exercise style are absent if the reported CFI follows the 'J.....' pattern.

Tag, section: 1.1.9.2.3.5 [StrkPric](#) and 1.1.9.2.3.6 [OptnExrcStyle](#)

Status	Error code	Description
ARRJ	CON-511	Cena wykonania nie ma zastosowania w przypadku danego instrumentu / Strike price is not applicable for the given instrument
ARRJ	CON-531	Rodzaj wykonania opcji nie ma zastosowania w przypadku danego instrumentu / Option exercise style is not applicable for the given instrument

R075 – R75 Rule "ESMA_Must_Be_PHYS_Complex_Rule"

Checks that the delivery type is physical if the reported CFI follows the 'SM..P' pattern.

Tag, section: 1.1.9.2.3.7 [DlvryTp](#)

Status	Error code	Description
ARRJ	CON-560	Nieprawidłowy typ dostawy / Delivery type is incorrect

R076 – R76 Rule ESMA_Option_Exercise_Style_Must_Be_Present_Complex_Rule"

Checks that the exercise style is present if the reported CFI follows the 'RW....' or 'RF...' pattern.

Tag, section: 1.1.9.2.3.6 [OptnExrcStyle](#)

Status	Error code	Description
ARRJ	CON-530	Brak rodzaju wykonania opcji / Option exercise style is missing

R077 – R77 Rule "ESMA_Must_Be_CASH_Complex_Rule"

Checks that the delivery type is cash if the reported CFI follows the 'OC..C.' pattern.

Tag, section: 1.1.9.2.3.7 [DlvryTp](#)

Status	Error code	Description
ARRJ	CON-560	Nieprawidłowy typ dostawy / Delivery type is incorrect

R078 – R78 Rule "ESMA_Maturity_Date_Must_Be_Absent_Complex_Rule"

Checks that the maturity date of the debt instrument is absent if the reported CFI follows the 'S.....' pattern.

Tag, section: 1.1.9.2.2.1 [MtrtyDt](#)

Status	Error code	Description
ARRJ	CON-542	Termin zapadalności nie powinien występować dla wskazanego typu instrument / Maturity date is not applicable for the given instrument

R079 – R79 Rule "ESMA_Must_Be_CASH_Complex_Rule"

Checks that the delivery type is cash if the reported CFI follows the 'LL..C.' pattern.

Tag, section: 1.1.9.2.3.7 [DlvryTp](#)

Status	Error code	Description
ARRJ	CON-560	Nieprawidłowy typ dostawy / Delivery type is incorrect

R080 – R80 Rule "ESMA_Must_Be_CASH_Complex_Rule"

Checks that the delivery type is cash if the reported CFI follows the 'SE..C.' pattern.

Tag, section: 1.1.9.2.3.7 [DlvryTp](#)

Status	Error code	Description
ARRJ	CON-560	Nieprawidłowy typ dostawy / Delivery type is incorrect

R081 – R81 Rule "ESMA_Strike_Price_And_Option_Exercise_Style_Must_Be_Absent_Rule"

Checks that the strike price and the exercise style are present if the reported CFI follows the 'S.....' pattern.

Tag, section: 1.1.9.2.3.5 [StrkPrcc](#) and 1.1.9.2.3.6 [OptnExrcStyle](#)

Status	Error code	Description
ARRJ	CON-511	Cena wykonania nie ma zastosowania w przypadku danego instrumentu / Strike price is not applicable for the given instrument
ARRJ	CON-531	Rodzaj wykonania opcji nie ma zastosowania w przypadku danego instrumentu / Option exercise style is not applicable for the given instrument

R082 – R82 Rule "ESMA_Must_Be_CASH_Complex_Rule"

Checks that the delivery type is cash if the reported CFI follows the 'HE..C.' pattern.

Tag, section: 1.1.9.2.3.7 [DlvryTp](#)

Status	Error code	Description
ARRJ	CON-560	Nieprawidłowy typ dostawy / Delivery type is incorrect

R083 – R83 Rule "ESMA_Must_Be_Absent_Complex_Rule"

Checks that the derivative instrument attributes are absent if no notional currency is reported.

Tag, section: 1.1.9.2.3.8 [AsstClssSpfcAttrbts](#) (if tag 1.1.9.2.1.4 [NtnlCcy](#) is omitted)

Status	Error code	Description
ARRJ	KON-002	Atrybuty klasy instrumentów nie mogą występować w raporcie / Asset ClassSpecificAttributes must be absent

R084 – R84 Rule "ESMA_Must_Be_PHYS_Complex_Rule"

Checks that the delivery type is physical if the reported CFI follows the 'SE..P.' pattern.

Tag, section: 1.1.9.2.3.7 [DlvryTp](#)

Status	Error code	Description
ARRJ	CON-560	Nieprawidłowy typ dostawy / Delivery type is incorrect

R085 – R85 Rule "ESMA_Option_Exercise_Style_Must_Be_Present_Complex_Rule"

Checks that the option exercise style is present if the reported CFI follows the 'H.....' pattern.

Tag, section: 1.1.9.2.3.6 [OptnExrcStyle](#)

Status	Error code	Description
ARRJ	CON-530	Brak rodzaju wykonania opcji / Option exercise style is missing

R086 – R86 Rule "ESMA_Strike_Price_And_Option_Exercise_Style_Must_Be_Absent_Rule"

Checks that the strike price and the exercise style are absent if the reported CFI follows the 'C.....' pattern.

Tag, section: 1.1.9.2.3.5 [StrkPric](#) and 1.1.9.2.3.6 [OptnExrcStyle](#)

Status	Error code	Description
ARRJ	CON-511	Cena wykonania nie ma zastosowania w przypadku danego instrumentu / Strike price is not applicable for the given instrument
ARRJ	CON-531	Rodzaj wykonania opcji nie ma zastosowania w przypadku danego instrumentu / Option exercise style is not applicable for the given instrument

R087 – R87 Rule "ESMA_Must_Be_Present_Complex_Rule"

Checks the exercise style if the reported CFI follows the 'H..A..' pattern (it must be EURO).

Tag, section: 1.1.9.2.3.6 [OptnExrcStyle](#)

Status	Error code	Description
ARRJ	KON-003	Rodzaj wykonania opcji nie ma zastosowania w przypadku danego instrumentu / Option exercise style is not applicable for the given instrument

R088 – R88 Rule "ESMA_Must_Be_PHYS_Complex_Rule"

Checks that the delivery type is physical if the reported CFI follows the 'JE..P.' pattern.

Tag, section: 1.1.9.2.3.7 [DlvryTp](#)

Status	Error code	Description
ARRJ	CON-560	Nieprawidłowy typ dostawy / Delivery type is incorrect

R089 – R89 Rule "ESMA_Must_Be_PHYS_Complex_Rule"

Checks that the delivery type is physical if the reported CFI follows the 'HR..P.' pattern.

Tag, section: 1.1.9.2.3.7 [DlvryTp](#)

Status	Error code	Description
ARRJ	CON-560	Nieprawidłowy typ dostawy / Delivery type is incorrect

R090 – R90 Rule "ESMA_Strike_Price_Must_Be_Present_Complex_Rule"

Checks that the strike price is present if the reported CFI follows the 'RW....' pattern.

Tag, section: 1.1.9.2.3.5 [StrkPric](#)

Status	Error code	Description
ARRJ	CON-510	Brak ceny wykonania / Strike Price is missing

R091 – R91 Rule "ESMA_Must_Be_PUTO_Complex_Rule"

Checks the option type if the reported CFI follows the 'H..D..' pattern.

Tag, section: 1.1.9.2.3.4 [OptnTp](#)

Status	Error code	Description
ARRJ	CON-502	Nieprawidłowy typ opcji dla wskazanego typu instrument / Option type does not match instrument classification

R092 – R92 Rule "ESMA_Must_Be_CASH_Complex_Rule"

Checks that the delivery type is cash if the reported CFI follows the 'HC..C.' pattern.

Tag, section: 1.1.9.2.3.7 [DlvryTp](#)

Status	Error code	Description
ARRJ	CON-560	Nieprawidłowy typ dostawy / Delivery type is incorrect

R093 – R93 Rule "ESMA_Must_Be_Present_Complex_Rule"

Checks the exercise style if the reported CFI follows the 'O..E..' pattern (it must be EURO).

Tag, section: 1.1.9.2.3.6 [OptnExrcStyle](#)

Status	Error code	Description
ARRJ	KON-003	Rodzaj wykonania opcji nie ma zastosowania w przypadku danego instrumentu / Option exercise style is not applicable for the given instrument

R094 – R94 Rule "ESMA_Option_Exercise_Style_Must_Be_Present_Complex_Rule"

Checks that the exercise style is present if the reported CFI follows the 'O.....' pattern.

Tag, section: 1.1.9.2.3.6 [OptnExrcStyle](#)

Status	Error code	Description
ARRJ	CON-530	Brak rodzaju wykonania opcji / Strike price is missing

R095 – R95 Rule "ESMA_Must_Be_OTHR_Complex_Rule"

Checks the option type if the reported CFI follows the 'OM....' pattern.

Tag, section: 1.1.9.2.3.4 [OptnTp](#)

Status	Error code	Description
ARRJ	CON-502	Nieprawidłowy typ opcji dla wskazanego typu instrument/ Option type does not match instrument classification

R096 – R96 Rule "ESMA_Expiry_Date_Must_Be_Present_Complex_Rule"

Checks that the expiry date of the derivative is present if the reported CFI follows the 'F.....' pattern.

Tag, section: 1.1.9.2.3.1 XpryDt		
Status	Error code	Description
ARRJ	CON-550	Brak daty wygaśnięcia / Expiry date is missing

R097 – R97 Rule "ESMA_Must_Be_PHYS_Complex_Rule" Checks that the delivery type is physical if the reported CFI follows the 'HT..P.' pattern.		
Tag, section: 1.1.9.2.3.7 DlvryTp		
Status	Error code	Description
ARRJ	CON-560	Nieprawidłowy typ dostawy / Delivery type is incorrect

R098 – R98 Rule ESMA_Must_Be_CALL_Complex_Rule" Checks the option type if the reported CFI follows the 'OC....' pattern.		
Tag, section: 1.1.9.2.3.4 OptnTp		
Status	Error code	Description
ARRJ	CON-502	Nieprawidłowy typ opcji dla wskazanego typu instrumentu/ Option type does not match instrument classification

R099 – R99 Rule ESMA_Must_Be_Present_Complex_Rule" Checks the exercise style if the reported CFI follows the 'H..H..' pattern (it must be AMER).		
Tag, section: 1.1.9.2.3.6 OptnExrcStyle		
Status	Error code	Description
ARRJ	KON-003	Rodzaj wykonania opcji nie ma zastosowania w przypadku danego instrumentu / Option exercise style is not applicable for the given instrument

R100 – R100 Rule "ESMA_Must_Be_PHYS_Complex_Rule" Checks that the delivery type is physical if the reported CFI follows the 'JF..P.' pattern.		
Tag, section: 1.1.9.2.3.7 DlvryTp		
Status	Error code	Description
ARRJ	CON-560	Nieprawidłowy typ dostawy / Delivery type is incorrect

R101 – R101 Rule ESMA_Strike_Price_Must_Be_Present_Complex_Rule" Checks that the strike price is present if the reported CFI follows the 'H.....' pattern (also checks that the exercise style is present).		
Tag, section: 1.1.9.2.3.5 StrkPric (and 1.1.9.2.3.6 OptnExrcStyle)		
Status	Error code	Description
ARRJ	CON-510	Brak ceny wykonania / Strike Price is missing
ARRJ	CON-530	Brak stylu wykonania opcji / Option exercise style is missing.

R102 – R102 Rule "ESMA_Must_Be_CASH_Complex_Rule" Checks that the delivery type is cash if the reported CFI follows the 'FF..C.' pattern.		
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Tag, section: 1.1.9.2.3.7 DlvryTp		
Status	Error code	Description
ARRJ	CON-560	Nieprawidłowy typ dostawy / Delivery type is incorrect

R103 – R103 Rule "ESMA_Maturity_Date_Must_Be_Absent_Complex_Rule" Checks that the maturity date of the debt instrument is absent if the reported CFI follows the 'C.....' pattern.		
Tag, section: 1.1.9.2.2.1 MtrtyDt		
Status	Error code	Description
ARRJ	CON-542	Termin zapadalności nie ma zastosowania w przypadku danego instrumentu / Maturity date is not applicable for the given instrument

R104 – R104 Rule "ESMA_Maturity_Date_Must_Be_Present_Complex_Rule" Checks that the maturity date of the debt instrument is present if the reported CFI follows the 'D.....' pattern.		
Tag, section: 1.1.9.2.2.1 MtrtyDt		
Status	Error code	Description
ARRJ	CON-540	Brak terminu zapadalności instrumentu / Maturity date is missing

R105 – R105 Rule "ESMA_Must_Be_PHYS_Complex_Rule" Checks that the delivery type is physical if the reported CFI follows the 'OC..P.' pattern.		
Tag, section: 1.1.9.2.3.7 DlvryTp		
Status	Error code	Description
ARRJ	CON-560	Nieprawidłowy typ dostawy / Delivery type is incorrect

R106 – R106 Rule "ESMA_Must_Be_OTHR_Complex_Rule" Checks the option type if the reported CFI follows the 'H..G..' pattern.		
Tag, section: 1.1.9.2.3.4 OptnTp		
Status	Error code	Description
ARRJ	CON-502	Rodzaj opcji niezgodny z klasyfikacją instrumentu / Option type does not match instrument classification

R107 – R107 Rule "ESMA_Must_Be_CASH_Complex_Rule" Checks that the delivery type is cash if the reported CFI follows the 'HF..C.' pattern.		
Tag, section: 1.1.9.2.3.7 DlvryTp		
Status	Error code	Description
ARRJ	CON-560	Nieprawidłowy typ dostawy / Delivery type is incorrect

R108 – R108 Rule "ESMA_Must_Be_Present_Complex_Rule" Checks the exercise style if the reported CFI follows the 'H..D..' pattern (it must be EURO).		
Tag, section: 1.1.9.2.3.6 OptnExrcStyle		
Status	Error code	Description

ARRJ	KON-003	Rodzaj wykonania opcji nie ma zastosowania w przypadku danego instrumentu / Option exercise style is not applicable for the given instrument
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R109 – R109 Rule "ESMA_Expiry_Date_Must_Be_Present_Complex_Rule"

Checks that the expiry date of the derivative is present if the reported CFI follows the 'JC..F.' pattern.

Tag, section: 1.1.9.2.3.1 [XpryDt](#)

Status	Error code	Description
ARRJ	CON-550	Data wygaśnięcia nie została podana / Expiry date is missing

R110 – R110 Rule "ESMA_Strike_Price_Must_Be_Present_Complex_Rule"

Checks that the strike price is present if the reported CFI follows the 'O.....' pattern (also checks that the exercise style is present).

Tag, section: 1.1.9.2.3.5 [StrkPrcc](#) (and 1.1.9.2.3.6 [OptnExrcStyle](#))

Status	Error code	Description
ARRJ	CON-510	Brak ceny wykonania / Strike Price is missing
ARRJ	CON-530	Brak stylu wykonania opcji / Option exercise style is missing

R111 – R111 Rule "ESMA_Must_Be_PHYS_Complex_Rule"

Checks that the delivery type is physical if the reported CFI follows the 'HE..P.' pattern.

Tag, section: 1.1.9.2.3.7 [DlvryTp](#)

Status	Error code	Description
ARRJ	CON-560	Nieprawidłowy typ dostawy / Delivery type is incorrect

R112 – R112 Rule "ESMA_Must_Be_OTHR_Complex_Rule"

Checks the option type if the reported CFI follows the 'H..A..' pattern.

Tag, section: 1.1.9.2.3.4 [OptnTp](#)

Status	Error code	Description
ARRJ	CON-502	Nieprawidłowy typ opcji dla wskazanego typu instrumentu/ Option type does not match instrument classification

R113 – R113 Rule "ESMA_Must_Be_PHYS_Complex_Rule"

Checks that the delivery type is physical if the reported CFI follows the 'JC..P.' pattern.

Tag, section: 1.1.9.2.3.7 [DlvryTp](#)

Status	Error code	Description
ARRJ	CON-560	Nieprawidłowy typ dostawy / Delivery type is incorrect

R114 – R114 Rule "ESMA_Must_Be_Present_Complex_Rule"

Checks the exercise style if the reported CFI follows the 'O..B..' pattern (it must be BERM).

Tag, section: 1.1.9.2.3.6 [OptnExrcStyle](#)

Status	Error code	Description
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ARRJ	KON-003	Nieprawidłowy sposób wykonania dla danego typu instrumentu / Strike price is not applicable for the given instrument
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R115 – R115 Rule "ESMA_Must_Be_CASH_Complex_Rule"

Checks that the delivery type is cash if the reported CFI follows the 'FF..C.' pattern.

Tag, section: 1.1.9.2.3.7 [DlvryTp](#)

Status	Error code	Description
ARRJ	CON-560	Nieprawidłowy typ dostawy / Delivery type is incorrect

R116 – R116 Rule "ESMA_Must_Be_CASH_Complex_Rule"

Checks that the delivery type is cash if the reported CFI follows the 'HM..C.' pattern.

Tag, section: 1.1.9.2.3.7 [DlvryTp](#)

Status	Error code	Description
ARRJ	CON-560	Nieprawidłowy typ dostawy / Delivery type is incorrect

R117 – R117 Rule "ESMA_Must_Be_OTHR_Complex_Rule"

Checks the option type if the reported CFI follows the 'H..H..' pattern.

Tag, section: 1.1.9.2.3.4 [OptnTp](#)

Status	Error code	Description
ARRJ	CON-502	Nieprawidłowy typ opcji dla wskazanego typu instrumentu/ Option type does not match instrument classification

R118 – R118 Rule "ESMA_Maturity_Date_Must_Be_Absent_Complex_Rule"

Checks that the maturity date of the debt instrument is absent if the reported CFI follows the 'R.....' pattern.

Tag, section: 1.1.9.2.2.1 [MtrtyDt](#)

Status	Error code	Description
ARRJ	CON-542	Termin zapadalności nie powinien występować dla wskazanego typu instrumentu / Maturity date is not applicable for the given instrument

R119 – R119 Rule "ESMA_Must_Be_PHYS_Complex_Rule"

Checks that the delivery type is physical if the reported CFI follows the 'OP..P.' pattern.

Tag, section: 1.1.9.2.3.7 [DlvryTp](#)

Status	Error code	Description
ARRJ	CON-560	Nieprawidłowy typ dostawy / Delivery type is incorrect

R120 – R120 Rule "ESMA_Must_Be_CASH_Complex_Rule"

Checks that the delivery type is cash if the reported CFI follows the 'SC..C.' pattern.

Tag, section: 1.1.9.2.3.7 [DlvryTp](#)

Status	Error code	Description
ARRJ	CON-560	Nieprawidłowy typ dostawy / Delivery type is incorrect

R121 – R121 Rule "ESMA_Must_Be_PHYS_Complex_Rule"

Checks that the delivery type is physical if the reported CFI follows the 'HP..P.' pattern.

Tag, section: 1.1.9.2.3.7 [DlvryTp](#)

Status	Error code	Description
ARRJ	CON-560	Nieprawidłowy typ dostawy / Delivery type is incorrect

R122 – R122 Rule "ESMA_Option_Type_Strike_Price_Option_Exercise_Style_Must_Be_Present_Complex_Rule"

Checks that the strike price is present if the reported CFI follows the 'RW....' pattern (also checks that the exercise style is present).

Tag, section: 1.1.9.2.3.4 [OptnTp](#) (and 1.1.9.2.3.5 [StrkPric](#) , 1.1.9.2.3.6 [OptnExrcStyle](#))

Status	Error code	Description
ARRJ	CON-500	Brak typu opcji / Option type is missing
ARRJ	CON-510	Brak ceny wykonania / Strike Price is missing
ARRJ	CON-530	Brak stylu wykonania opcji / Option exercise style is missing

R123 – R123 Rule "ESMA_Must_Be_Present_Complex_Rule"

Checks the exercise style if the reported CFI follows the 'H..G..' pattern (it must be EURO).

Tag, section: 1.1.9.2.3.6 [OptnExrcStyle](#)

Status	Error code	Description
ARRJ	KON-003	Nieprawidłowy sposób wykonania dla danego typu instrumentu / Strike price is not applicable for the given instrument

R124 – R124 Rule "ESMA_Must_Be_CALL_Complex_Rule"

Checks the option type if the reported CFI follows the 'H..B..' pattern.

Tag, section: 1.1.9.2.3.4 [OptnTp](#)

Status	Error code	Description
ARRJ	CON-502	Nieprawidłowy typ opcji dla wskazanego typu instrumentu/ Option type does not match instrument classification

R125 – R125 Rule "ESMA_Maturity_Date_Must_Be_Absent_Complex_Rule"

Checks that the maturity date of the debt instrument is absent if the reported CFI follows the 'H.....' pattern.

Tag, section: 1.1.9.2.2.1 [MtrtyDt](#)

Status	Error code	Description
ARRJ	CON-542	Termin zapadalności nie powinien występować dla wskazanego typu instrumentu / Maturity date is not applicable for the given instrument

R126 – R126 Rule "ESMA_Must_Be_Present_Complex_Rule"

Checks the exercise style if the reported CFI follows the 'H..C..' pattern (it must be BERM).

Tag, section: 1.1.9.2.3.6 [OptnExrcStyle](#)

Status	Error code	Description
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ARRJ	KON-003	Nieprawidłowy sposób wykonania dla danego typu instrumentu / Strike price is not applicable for the given instrument
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R127 – R127 Rule "ESMA_Must_Be_PHYS_Complex_Rule"

Checks that the delivery type is physical if the reported CFI follows the 'ST..P.' pattern.

Tag, section: 1.1.9.2.3.7 [DlvryTp](#)

Status	Error code	Description
ARRJ	CON-560	Nieprawidłowy typ dostawy / Delivery type is incorrect

R128 – R28 Rule "ESMA_Must_Be_CASH_Complex_Rule"

Checks that the delivery type is cash if the reported CFI follows the 'JE..C.' pattern.

Tag, section: 1.1.9.2.3.7 [DlvryTp](#)

Status	Error code	Description
ARRJ	CON-560	Nieprawidłowy typ dostawy / Delivery type is incorrect

R129 – R129 Rule "ESMA_Must_Be_PUTO_Complex_Rule"

Checks the option type if the reported CFI follows the 'OP....' pattern.

Tag, section: 1.1.9.2.3.4 [OptnTp](#)

Status	Error code	Description
ARRJ	CON-502	Nieprawidłowy typ opcji dla wskazanego typu instrumentu/ Option type does not match instrument classification

R130 – R130 Rule "ESMA_Must_Be_PHYS_Complex_Rule"

Checks that the delivery type is physical if the reported CFI follows the 'JR..P.' pattern.

Tag, section: 1.1.9.2.3.7 [DlvryTp](#)

Status	Error code	Description
ARRJ	CON-560	Nieprawidłowy typ dostawy / Delivery type is incorrect

R131 – R131 Rule "ESMA_Option_Type_Strike_Price_Option_Exercise_Stye_Must_Be_Absent_Complex_Rule"

Checks that the option type is absent if the reported CFI follows the 'F.....' pattern (also checks that the strike price and the exercise style are absent).

Tag, section: 1.1.9.2.3.4 [OptnTp](#) (and 1.1.9.2.3.5 [StrkPric](#) , 1.1.9.2.3.6 [OptnExrcStyle](#))

Status	Error code	Description
ARRJ	CON-501	Typ opcji nie występuje dla danego typu instrumentu / Option type is not applicable for the given instrument
ARRJ	CON-511	Cena wykonania nie występuje dla danego typu instrumentu / Strike price is not applicable for the given instrument
ARRJ	CON-531	Styl wykonania opcji nie występuje dla danego typu instrumentu / Option exercise style is not applicable for the given instrument

R132 – R132 Rule "ESMA_Maturity_Date_Must_Be_Absent_Complex_Rule"

Checks that the maturity date of the debt instrument is absent if the reported CFI follows the 'E.....' pattern.

Tag, section: 1.1.9.2.2.1 [MtrtyDt](#)

Status	Error code	Description
ARRJ	CON-542	Termin zapadalności nie powinien występować dla wskazanego typu instrumentu / Maturity date is not applicable for the given instrument

R133 – R133 Rule ESMA_Must_Be_Present_Complex_Rule"

Checks the exercise style if the reported CFI follows the 'H..E..' pattern (it must be AMER).

Tag, section: 1.1.9.2.3.6 [OptnExrcStyle](#)

Status	Error code	Description
ARRJ	KON-003	Nieprawidłowy sposób wykonania dla danego typu instrumentu / Strike price is not applicable for the given instrument

R134 – R134 Rule "ESMA_Maturity_Date_Must_Be_Absent_Complex_Rule"

Checks that the maturity date of the debt instrument is absent if the reported CFI follows the 'O.....' pattern.

Tag, section: 1.1.9.2.2.1 [MtrtyDt](#)

Status	Error code	Description
ARRJ	CON-542	Termin zapadalności nie powinien występować dla wskazanego typu instrumentu / Maturity date is not applicable for the given instrument

R135 – R135 Rule "ESMA_Option_Type_Strike_Price_Option_Exercise_Style_Must_Be_Present_Complex_Rule"

Checks that the option type is present if the reported CFI follows the 'O.....' pattern (also checks that the strike price and the exercise style are present).

Tag, section: 1.1.9.2.3.4 [OptnTp](#) (and 1.1.9.2.3.5 [StrkPric](#) , 1.1.9.2.3.6 [OptnExrcStyle](#))

Status	Error code	Description
ARRJ	CON-500	Brak typu opcji / Option type is missing
ARRJ	CON-510	Brak ceny wykonania / Strike Price is missing
ARRJ	CON-530	Brak stylu wykonania opcji / Option exercise style is missing

R136 – R136 Rule "ESMA_Maturity_Date_Must_Be_Absent_Complex_Rule"

Checks that the maturity date of the debt instrument is absent if the reported CFI follows the 'J.....' pattern.

Tag, section: 1.1.9.2.2.1 [MtrtyDt](#)

Status	Error code	Description
ARRJ	CON-542	Termin zapadalności nie powinien występować dla wskazanego typu instrumentu / Maturity date is not applicable for the given instrument

R137 – R137 Rule "ESMA_Must_Be_OTHR_Complex_Rule"

Checks the option type if the reported CFI follows the 'RW..B.' pattern.

Tag, section: 1.1.9.2.3.4 [OptnTp](#)

Status	Error code	Description
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ARRJ	CON-502	Nieprawidłowy typ opcji dla wskazanego typu instrumentu/ Option type does not match instrument classification
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R138 – R138 Rule "ESMA_Must_Be_PHYS_Complex_Rule"

Checks that the delivery type is physical if the reported CFI follows the 'FF..P.' pattern.

Tag, section: 1.1.9.2.3.7 [DlvryTp](#)

Status	Error code	Description
ARRJ	CON-560	Nieprawidłowy typ dostawy / Delivery type is incorrect

R139 – R139 Rule "ESMA_Must_Be_PHYS_Complex_Rule"

Checks that the delivery type is physical if the reported CFI follows the 'HF..P.' pattern.

Tag, section: 1.1.9.2.3.7 [DlvryTp](#)

Status	Error code	Description
ARRJ	CON-560	Nieprawidłowy typ dostawy / Delivery type is incorrect

R140 – R140 Rule "ESMA_Must_Be_CASH_Complex_Rule"

Checks that the delivery type is cash if the reported CFI follows the 'SR..C.' pattern.

Tag, section: 1.1.9.2.3.7 [DlvryTp](#)

Status	Error code	Description
ARRJ	CON-560	Nieprawidłowy typ dostawy / Delivery type is incorrect

R141 – R141 Rule "ESMA_Option_Type_Strike_Price_Option_Exercise_Style_Must_Be_Absent_Complex_Rule"

Checks that the option type is absent if the reported CFI follows the 'J.....' pattern (also checks that the strike price and the exercise style are absent).

Tag, section: 1.1.9.2.3.4 [OptnTp](#) (and 1.1.9.2.3.5 [StrkPric](#) , 1.1.9.2.3.6 [OptnExrcStyle](#))

Status	Error code	Description
ARRJ	CON-501	Typ opcji nie występuje dla danego typu instrumentu / Option type is not applicable for the given instrument
ARRJ	CON-511	Cena wykonania nie występuje dla danego typu instrumentu / Strike price is not applicable for the given instrument
ARRJ	CON-531	Styl wykonania opcji nie występuje dla danego typu instrumentu / Option exercise style is not applicable for the given instrument

R142 – R142 Rule "ESMA_Must_Be_PHYS_Complex_Rule"

Checks that the delivery type is physical if the reported CFI follows the 'SC..P.' pattern.

Tag, section: 1.1.9.2.3.7 [DlvryTp](#)

Status	Error code	Description
ARRJ	CON-560	Nieprawidłowy typ dostawy / Delivery type is incorrect

R143 – R143 Rule "ESMA_Expiry_Date_Must_Be_Absent_Complex_Rule"

Checks that the expiry date of the derivative is absent if the reported CFI follows the 'E.....' pattern.

Tag, section: 1.1.9.2.3.1 XpryDt		
Status	Error code	Description
ARRJ	CON-551	Data wygaśnięcia nie występuje dla danego typu instrumentu / Expiry date is not applicable for the given instrument

R144 – R144 Rule "ESMA_Option_Type_Strike_Price_Option_Exercise_Style_Must_Be_Present_Complex_Rule"		
Checks that the option type is present if the reported CFI follows the 'H.....' pattern (also checks that the strike price and the exercise style are present).		
Tag, section: 1.1.9.2.3.4 OptnTp (and 1.1.9.2.3.5 StrkPric , 1.1.9.2.3.6 OptnExrcStyle)		
Status	Error code	Description
ARRJ	CON-500	Brak typu opcji / Option type is missing
ARRJ	CON-510	Brak ceny wykonania / Strike Price is missing
ARRJ	CON-530	Brak stylu wykonania opcji / Option exercise style is missing

R145 – R145 Rule "ESMA_Must_Be_CALL_Complex_Rule"		
Checks the option type if the reported CFI follows the 'RW..C.' pattern.		
Tag, section: 1.1.9.2.3.4 OptnTp		
Status	Error code	Description
ARRJ	CON-502	Nieprawidłowy typ opcji dla wskazanego typu instrumentu/ Option type does not match instrument classification

R146 – R146 Rule "ESMA_Must_Be_CASH_Complex_Rule"		
Checks that the delivery type is cash if the reported CFI follows the 'SM..C.' pattern.		
Tag, section: 1.1.9.2.3.7 DlvryTp		
Status	Error code	Description
ARRJ	CON-560	Nieprawidłowy typ dostawy / Delivery type is incorrect

R147 – R147 Rule "ESMA_Must_Be_PUTTO_Complex_Rule"		
Checks the option type if the reported CFI follows the 'H..E..' pattern.		
Tag, section: 1.1.9.2.3.4 OptnTp		
Status	Error code	Description
ARRJ	CON-502	Nieprawidłowy typ opcji dla wskazanego typu instrumentu/ Option type does not match instrument classification

R148 – R148 Rule ESMA_Must_Be_Present_Complex_Rule"		
Checks the exercise style if the reported CFI follows the 'H..F..' pattern (it must be BERM).		
Tag, section: 1.1.9.2.3.6 OptnExrcStyle		
Status	Error code	Description
ARRJ	KON-003	Nieprawidłowy sposób wykonania dla danego typu instrumentu / Strike price is not applicable for the given instrument

R149 – R149 Rule "ESMA_Must_Be_CASH_Complex_Rule"

Checks that the delivery type is cash if the reported CFI follows the 'JC..C.' pattern.

Tag, section: 1.1.9.2.3.7 [DlvryTp](#)

Status	Error code	Description
ARRJ	CON-560	Nieprawidłowy typ dostawy / Delivery type is incorrect

R150 – R150 Rule "ESMA_Maturity_Date_Must_Be_Absent_Complex_Rule"

Checks that the maturity date of the debt instrument is absent if the reported CFI follows the 'F.....' pattern.

Tag, section: 1.1.9.2.2.1 [MtrtyDt](#)

Status	Error code	Description
ARRJ	CON-542	Termin zapadalności nie powinien występować dla wskazanego typu instrumentu / Maturity date is not applicable for the given instrument

R151 – R151 Rule "ESMA_Strike_Price_Option_Exercide_Stye_Must_Be_Absent_Complex_Rule"

Checks that the strike price is absent if the reported CFI follows the 'F.....' pattern (also checks that the exercise style is absent).

Tag, section: 1.1.9.2.3.5 [StrkPric](#) (and 1.1.9.2.3.6 [OptnExrcStyle](#))

Status	Error code	Description
ARRJ	CON-511	Cena wykonania nie występuje dla danego typu instrumentu / Strike price is not applicable for the given instrument
ARRJ	CON-531	Styl wykonania opcji nie występuje dla danego typu instrumentu / Option exercise style is not applicable for the given instrument

R152 – R152 Rule "ESMA_Must_Be_PHYS_Complex_Rule"

Checks that the delivery type is physical if the reported CFI follows the 'JT...P' pattern.

Tag, section: 1.1.9.2.3.7 [DlvryTp](#)

Status	Error code	Description
ARRJ	CON-560	Nieprawidłowy typ dostawy / Delivery type is incorrect

R153 – R153 Rule ESMA_Must_Be_OTHR_Complex_Rule"

Checks the option type if the reported CFI follows the 'H..I..' pattern.

Tag, section: 1.1.9.2.3.4 [OptnTp](#)

Status	Error code	Description
ARRJ	CON-502	Nieprawidłowy typ opcji dla wskazanego typu instrumentu/ Option type does not match instrument classification

R154 – R154 Rule "ESMA_Must_Be_PHYS_Complex_Rule"

Checks that the delivery type is physical if the reported CFI follows the 'HM..P.' pattern.

Tag, section: 1.1.9.2.3.7 [DlvryTp](#)

Status	Error code	Description
ARRJ	CON-560	Nieprawidłowy typ dostawy / Delivery type is incorrect

R155 – R155 Rule "ESMA_Option_Type_Strike_Price_Option_Exercise_Style_Must_Be_Absent_Complex_Rule"

Checks that the option type is absent if the reported CFI follows the 'S....' pattern (also checks that the strike price and the exercise style are absent).

Tag, section: 1.1.9.2.3.4 OptnTp (and 1.1.9.2.3.5 StrkPric , 1.1.9.2.3.6 OptnExrcStyle)

Status	Error code	Description
ARRJ	CON-501	Typ opcji nie występuje dla danego typu instrumentu / Option type is not applicable for the given instrument
	CON-511	Cena wykonania nie występuje dla danego typu instrumentu / Strike price is not applicable for the given instrument
	CON-531	Styl wykonania opcji nie występuje dla danego typu instrumentu / Option exercise style is not applicable for the given instrument

R156 – R156 Rule "ESMA_Expiry_Date_Must_Be_Absent_Complex_Rule"

Checks that the expiry date of the derivative is absent if the reported CFI follows the 'D....' pattern.

Tag, section: 1.1.9.2.3.1 XpryDt

Status	Error code	Description
ARRJ	CON-551	Data wygaśnięcia nie występuje dla danego typu instrumentu / Expiry date is not applicable for the given instrument

R157 – R157 Rule "ESMA_Must_Be_CALL_Complex_Rule"

Checks the option type if the reported CFI follows the 'H..C..' pattern.

Tag, section: 1.1.9.2.3.4 OptnTp

Status	Error code	Description
ARRJ	CON-502	Nieprawidłowy typ opcji dla wskazanego typu instrumentu/ Option type does not match instrument classification

R158 – R158 Rule "ESMA_Must_Be_CASH_Complex_Rule"

Checks that the delivery type is cash if the reported CFI follows the 'HR...C' pattern.

Tag, section: 1.1.9.2.3.7 DlvryTp

Status	Error code	Description
ARRJ	CON-560	Nieprawidłowy typ dostawy / Delivery type is incorrect

R159 – R159 Rule "ESMA_Must_Be_CASH_Complex_Rule"

Checks that the delivery type is cash if the reported CFI follows the 'JR...C' pattern.

Tag, section: 1.1.9.2.3.7 DlvryTp

Status	Error code	Description
ARRJ	CON-560	Nieprawidłowy typ dostawy / Delivery type is incorrect

R160 – R160 Rule "ESMA_Must_Be_PUTO_Complex_Rule"

Checks the option type if the reported CFI follows the 'RW..P.' pattern.

Tag, section: 1.1.9.2.3.4 OptnTp		
Status	Error code	Description
ARRJ	CON-502	Nieprawidłowy typ opcji dla wskazanego typu instrumentu/ Option type does not match instrument classification

R161 – R161 Rule "ESMA_Option_Type_Strike_Price_Option_Exercise_Style_Must_Be_Absent_Complex_Rule"

Checks that the option type is absent if the reported CFI follows the 'D.....' pattern (also checks that the strike price and the exercise style are absent).

Tag, section: 1.1.9.2.3.4 [OptnTp](#) (and 1.1.9.2.3.5 [StrkPric](#) , 1.1.9.2.3.6 [OptnExrcStyle](#))

Status	Error code	Description
ARRJ	CON-501	Typ opcji nie występuje dla danego typu instrumentu / Option type is not applicable for the given instrument
ARRJ	CON-511	Cena wykonania nie występuje dla danego typu instrumentu / Strike price is not applicable for the given instrument
ARRJ	CON-531	Styl wykonania opcji nie występuje dla danego typu instrumentu / Option exercise style is not applicable for the given instrument

R162 – R162 Rule "ESMA_Must_Be_PHYS_Complex_Rule"

Checks that the delivery type is physical if the reported CFI follows the 'LL...P' pattern.

Tag, section: 1.1.9.2.3.7 [DlvryTp](#)

Status	Error code	Description
ARRJ	CON-560	Nieprawidłowy typ dostawy / Delivery type is incorrect

R163 – R163 Rule "ESMA_Must_Be_PHYS_Complex_Rule"

Checks that the delivery type is physical if the reported CFI follows the 'SF...P' pattern.

Tag, section: 1.1.9.2.3.7 [DlvryTp](#)

Status	Error code	Description
ARRJ	CON-560	Nieprawidłowy typ dostawy / Delivery type is incorrect

R164 – R164 Rule "ESMA_Must_Be_PHYS_Complex_Rule"

Checks that the delivery type is physical if the reported CFI follows the 'IF...P' pattern.

Tag, section: 1.1.9.2.3.7 [DlvryTp](#)

Status	Error code	Description
ARRJ	CON-560	Nieprawidłowy typ dostawy / Delivery type is incorrect

R165 – R165 Rule "ESMA_Strike_Price_Option_Exercise_Style_Must_Be_Absent_Complex_Rule"

Checks that the strike price is absent if the reported CFI follows the 'E.....' pattern (also checks that the exercise style is absent).

Tag, section: 1.1.9.2.3.5 [StrkPric](#) (and 1.1.9.2.3.6 [OptnExrcStyle](#))

Status	Error code	Description
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ARRJ	CON-511	Cena wykonania nie występuje dla danego typu instrumentu / Strike price is not applicable for the given instrument
ARRJ	CON-531	Styl wykonania opcji nie występuje dla danego typu instrumentu / Option exercise style is not applicable for the given instrument

R166 – R166 Rule "ESMA_Must_Be_CASH_Complex_Rule"

Checks that the delivery type is cash if the reported CFI follows the 'JF...C' pattern.

Tag, section: 1.1.9.2.3.7 [DivryTp](#)

Status	Error code	Description
ARRJ	CON-560	Nieprawidłowy typ dostawy / Delivery type is incorrect

R167 – R167 Rule "ESMA_Option_Type_Strike_Price_Option_Exercise_Style_Must_Be_Absent_Complex_Rule"

Checks that the option type is absent if the reported CFI follows the 'C....' pattern (also checks that the strike price and the exercise style are absent).

Tag, section: 1.1.9.2.3.4 [OptnTp](#) (and 1.1.9.2.3.5 [StrkPric](#) , 1.1.9.2.3.6 [OptnExrcStyle](#))

Status	Error code	Description
ARRJ	CON-501	Typ opcji nie występuje dla danego typu instrumentu / Option type is not applicable for the given instrument
ARRJ	CON-511	Cena wykonania nie występuje dla danego typu instrumentu / Strike price is not applicable for the given instrument
ARRJ	CON-531	Styl wykonania opcji nie występuje dla danego typu instrumentu / Option exercise style is not applicable for the given instrument

R168 – R168 Rule "ESMA_Must_Be_CASH_Complex_Rule"

Checks that the delivery type is cash if the reported CFI follows the 'HT...E' pattern.

Tag, section: 1.1.9.2.3.7 [DivryTp](#)

Status	Error code	Description
ARRJ	CON-560	Nieprawidłowy typ dostawy / Delivery type is incorrect

R169 – R169 Rule "ESMA_Must_Be_Present_Complex_Rule"

Checks the exercise style if the reported CFI follows the 'H..B..' pattern (it must be BERM).

Tag, section: 1.1.9.2.3.6 [OptnExrcStyle](#)

Status	Error code	Description
ARRJ	KON-003	Nieprawidłowy sposób wykonania dla danego typu instrumentu / Strike price is not applicable for the given instrument

R170 – R170 Rule "ESMA_Must_Be_Present_Complex_Rule"

Checks the exercise style if the reported CFI follows the 'H..I..' pattern (it must be BERM).

Tag, section: 1.1.9.2.3.6 [OptnExrcStyle](#)

Status	Error code	Description
ARRJ	KON-003	Nieprawidłowy sposób wykonania dla danego typu instrumentu / Strike price is not applicable for the given instrument

R171 – R171 Rule "ESMA_Must_Be_PUTO_Complex_Rule"

Checks the option type if the reported CFI follows the 'H..F..' pattern.

Tag, section: 1.1.9.2.3.4 [OptnTp](#)

Status	Error code	Description
ARRJ	CON-502	Nieprawidłowy typ opcji dla wskazanego typu instrumentu/ Option type does not match instrument classification

R172 – R172 Rule "ESMA_Expiry_Date_Must_Be_Absent_Complex_Rule"

Checks that the expiry date of the derivative is absent if the reported CFI follows the 'C.....' pattern.

Tag, section: 1.1.9.2.3.1 [XpryDt](#)

Status	Error code	Description
ARRJ	CON-551	Data wygaśnięcia nie występuje dla danego typu instrumentu / Expiry date is not applicable for the given instrument

R173 – R173 Rule "ESMA_Must_Be_CASH_Complex_Rule"

Checks that the delivery type is cash if the reported CFI follows the 'ST...C' pattern.

Tag, section: 1.1.9.2.3.7 [DlvryTp](#)

Status	Error code	Description
ARRJ	CON-560	Nieprawidłowy typ dostawy / Delivery type is incorrect

R174 – R174 Rule "ESMA_Strike_Price_Option_Exercise_Style_Must_Be_Absent_Complex_Rule"

Checks that the strike price is absent if the reported CFI follows the 'D.....' pattern (also checks that the exercise style is absent).

Tag, section: 1.1.9.2.3.5 [StrkPric](#) (and 1.1.9.2.3.6 [OptnExrcStyle](#))

Status	Error code	Description
ARRJ	CON-511	Cena wykonania nie występuje dla danego typu instrumentu / Strike price is not applicable for the given instrument
ARRJ	CON-531	Styl wykonania opcji nie występuje dla danego typu instrumentu / Option exercise style is not applicable for the given instrument

R175 – R175 Rule "ESMA_Must_Be_CASH_Complex_Rule"

Checks that the delivery type is cash if the reported CFI follows the 'JT...C' pattern.

Tag, section: 1.1.9.2.3.7 [DlvryTp](#)

Status	Error code	Description
ARRJ	CON-560	Nieprawidłowy typ dostawy / Delivery type is incorrect

R176 – R176 Rule "ESMA_Must_Be_PHYS_Complex_Rule"

Checks that the delivery type is physical if the reported CFI follows the 'SR...P' pattern.

Tag, section: 1.1.9.2.3.7 [DlvryTp](#)

Status	Error code	Description
ARRJ	CON-560	Nieprawidłowy typ dostawy / Delivery type is incorrect

R177 – R177 Rule "ESMA_Option_Type_Strike_Price_Option_Exercide_Stye_Must_Be_Absent_Complex_Rule"

Checks that the option type is absent if the reported CFI follows the 'E.....' pattern (also checks that the strike price and the exercise style are absent).

Tag, section: 1.1.9.2.3.4 [OptnTp](#) (and 1.1.9.2.3.5 [StrkPric](#) , 1.1.9.2.3.6 [OptnExrcStyle](#))

Status	Error code	Description
ARRJ	CON-501	Typ opcji nie występuje dla danego typu instrumentu / Option type is not applicable for the given instrument
ARRJ	CON-511	Cena wykonania nie występuje dla danego typu instrumentu / Strike price is not applicable for the given instrument
ARRJ	CON-531	Styl wykonania opcji nie występuje dla danego typu instrumentu / Option exercise style is not applicable for the given instrument

R178 – R178 Rule "ESMA_Must_Be_Present_Complex_Rule"

Checks the exercise style if the reported CFI follows the 'O..A..' pattern (it must be AMER).

Tag, section: 1.1.9.2.3.6 [OptnExrcStyle](#)

Status	Error code	Description
ARRJ	KON-003	Nieprawidłowy sposób wykonania dla danego typu instrumentu / Strike price is not applicable for the given instrument

R179 – R179 Rule "ESMA_Must_Be_PHYS_Complex_Rule"

Checks that the delivery type is physical if the reported CFI follows the 'FC...P' pattern.

Tag, section: 1.1.9.2.3.7 [DlvryTp](#)

Status	Error code	Description
ARRJ	CON-560	Nieprawidłowy typ dostawy / Delivery type is incorrect

R180 – R180 Rule "ESMA_Must_Be_Present_Complex_Rule"

Checks that at least one notional currency code is present if the reported CFI follows the 'O.....' pattern.

Tag, section: 1.1.9.2.1.4 [NtnlCcy](#)

Status	Error code	Description
ARRJ	CON-441	Brak kodu waluty nominału 1 / Notional Currency 1 is missing

R181 – R181 Rule "ESMA_Must_Be_Present_Complex_Rule"

Checks that at least one notional currency code is present if the reported CFI follows the 'S.....' pattern.

Tag, section: 1.1.9.2.1.4 [NtnlCcy](#)

Status	Error code	Description
ARRJ	CON-441	Brak kodu waluty nominału 1 / Notional Currency 1 is missing

R182 – R182 Rule "ESMA_Must_Be_Present_Complex_Rule"

Checks that at least one notional currency code is present if the reported CFI follows the 'C.....' pattern.

Tag, section: 1.1.9.2.1.4 [NtnlCcy](#)

Status	Error code	Description
ARRJ	CON-441	Brak kodu waluty nominalu 1 / Notional Currency 1 is missing

R183 – R183 Rule "ESMA_Must_Be_Present_Complex_Rule"

Checks that at least one notional currency code is present if the reported CFI follows the 'R.....' pattern.

Tag, section: 1.1.9.2.1.4 [NtnlCcy](#)

Status	Error code	Description
ARRJ	CON-441	Brak kodu waluty nominalu 1 / Notional Currency 1 is missing

R184 – R184 Rule "ESMA_Must_Be_Present_Complex_Rule"

Checks that at least one notional currency code is present if the reported CFI follows the 'F.....' pattern.

Tag, section: 1.1.9.2.1.4 [NtnlCcy](#)

Status	Error code	Description
ARRJ	CON-441	Brak kodu waluty nominalu 1 / Notional Currency 1 is missing

R185 – R185 Rule "ESMA_Must_Be_Present_Complex_Rule"

Checks that at least one notional currency code is present if the reported CFI follows the 'E.....' pattern.

Tag, section: 1.1.9.2.1.4 [NtnlCcy](#)

Status	Error code	Description
ARRJ	CON-441	Brak kodu waluty nominalu 1 / Notional Currency 1 is missing

R186 – R186 Rule "ESMA_Must_Be_Present_Complex_Rule"

Checks that at least one notional currency code is present if the reported CFI follows the 'D.....' pattern.

Tag, section: 1.1.9.2.1.4 [NtnlCcy](#)

Status	Error code	Description
ARRJ	CON-441	Brak kodu waluty nominalu 1 / Notional Currency 1 is missing

R187 – R187 Rule "ESMA_Must_Be_Present_Complex_Rule"

Checks that at least one notional currency code is present if the reported CFI follows the 'H.....' pattern.

Tag, section: 1.1.9.2.1.4 [NtnlCcy](#)

Status	Error code	Description
ARRJ	CON-441	Brak kodu waluty nominalu 1 / Notional Currency 1 is missing

R188 – R188 Rule "ESMA_Must_Be_Present_Complex_Rule"

Checks that at least one notional currency code is present if the reported CFI follows the 'J.....' pattern.

Tag, section: 1.1.9.2.1.4 [NtnlCcy](#)

Status	Error code	Description
ARRJ	CON-441	Brak kodu waluty nominalu 1 / Notional Currency 1 is missing

R189 – R189 Rule "ESMA_Instrument_Identification"

Checks that ISIN is an ISO 6166 code, including the check digit.

Tag, section: 1.1.9 **FinInstrm** (each tag ID used for reporting ISIN code)

Status	Error code	Description
ARRJ	CON-410	Kod ISIN jest niezgodny z ISO / The ISIN code is invalid

R190 – R190 Rule "ESMA_Instrument_Identification"

Checks that ISIN in tag 1.1.9 is included in the FIRDS list if the market code of the transaction is other than 'XXXX'.

Tag, section: 1.1.9 **FinInstrm**

Status	Error code	Description
ARWR	CON-411	Kod ISIN XXXX nie występuje na liście FIRDS lub występuje i jest nieważny na dzień transakcji / Instrument XXXX is not valid in reference data on transaction date

R191 – R191 Rule "ESMA_Maturity_Date"

Checks that the maturity date of the debt instrument is absent if the reported CFI follows the patterns below:

1. Forwards: 'JE..C.', 'JE..S.', 'JE..F.', 'JF....', 'JR..S.', 'JR..F.', 'JT..C.', 'JT..F.'
2. Other instruments: 'R.....', 'O.....', 'F.....', 'S.....', 'E.....', 'C.....', 'H.....', 'M.....'

Tag, section: 1.1.9.2.2.1 **MtrtyDt**

Status	Error code	Description
ARRJ	CON-542	Termin zapadalności nie powinien występować dla wskazanego typu instrumentu / Maturity date is not applicable for the given instrument

R192 – R192 Rule "ESMA_Expiry_Date"

Checks that the expiry date of the derivative is present if the reported CFI follows the patterns below:

1. Options: 'O.....' or 'H.....'
2. Warrants: 'RWB...', 'RWS...', 'RWD...', 'RWI...'
3. Futures: 'F.....'

Tag, section: 1.1.9.2.3.1 **XpryDt**

Status	Error code	Description
ARRJ	CON-550	Brak daty wygaśnięcia instrumentu / Expiry date is missing

R193 – R193 Rule "ESMA_Underlying_Instrument_Index"

Checks that the underlying instrument name is present if the reported CFI follows the patterns below: 'O..I..', 'O..N..', 'FFI...', 'FFN...', 'RWI...'.

Tag, section: 1.1.9.2.3.3 **UndrlygInstrm**

Status	Error code	Description
ARRJ	CON-480	Brak nazwy indeksu instrumentu bazowego / Direct underlying index name is missing

R194 – R194 Rule "ESMA_Underlying_Instrument_Index"

Checks that the ISIN of the underlying instrument or the name of the underlying instrument is present of the transaction is executed XXXX or outside the EEA.

Tag, section: 1.1.9.2.3.3 UndrlyglInstrm (tag where Indx / ISIN occurs)

Status	Error code	Description
ARRJ	KON-004	Brak nazwy indeksu instrumentu bazowego lub kodu ISIN instrumentu bazowego / Direct underlying index name or ISIN of underlying instrument is missing

R195 – R195 Rule "ESMA_Underlying_Instrument_Index"

Checks that the name of the underlying instrument index is absent if the reported CFI follows the patterns below:

1. Debt instruments: 'DB....', 'DT....', 'DY....'
2. Other instruments: 'E....', 'L....'

Tag, section: 1.1.9.2.3.3 UndrlyglInstrm (tag where Indx occurs)

Status	Error code	Description
ARRJ	KON-481	Nazwa instrumentu bazowego nie ma zastosowania w przypadku danego instrumentu / Underlying index name is not applicable for the given instrument

R196 – R196 Rule "ESMA_Underlying_Instrument_Term"

Checks that the underlying instrument term is present if the reported CFI follows the patterns below: 'O..I..', 'O..N..', FFN...'

Tag, section: 1.1.9.2.3.3 UndrlyglInstrm

Status	Error code	Description
ARRJ	CON-490	Brak terminu indeksu bazowego / Term of the underlying index is missing

R197 – R197 Rule "ESMA_Strike_Price_Currency"

Checks the strike price currency.

Tag, section: 1.1.9.2.3.5 StrkPric

Status	Error code	Description
ARRJ	CON-520	Kod waluty XXX jest nieprawidłowy / Currency code XXX is not valid for the trade date

R198 – R198 Rule "ESMA_Delivery_Tape"

Checks the delivery type depending on the type of instrument as per the reported CFI.

Tag, section: 1.1.9.2.3.7 DlvryTp

Status	Error code	Description
ARRJ	CON-560	Nieprawidłowy typ dostawy / Delivery type is incorrect

R199 – R199 Rule "ESMA_Notional_Currency_Two_Mandatory"

Checks that the notional currency code 2 is present if the reported CFI follows the patterns below: 'SF....', 'FFC...', 'JF...', 'RWC...', 'RFC...', 'DE...C' lub 'DS...C'.

Tag, section: 1.1.9.2.1.4 NtnlCcy

Status	Error code	Description
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ARRJ	CON-452	Brak waluty nominalnej 2 / Notional Currency 2 is missing
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R200 – R200 Rule ESMA_ESMA_Notinal_Currency_Two_NA"

Checks that the notional currency code 2 is present if the reported CFI follows the patterns below: 'O..S..', 'O..D..', 'O..T..', 'O..N..', 'FFS...', 'FFD...', 'FFN...', 'FFV...', 'FC...', 'ST...', 'HT...', 'HE...', 'HF...', 'E...', 'C...', 'RA...', 'RS...', 'RP...', 'RD...', 'RM...', 'RX...', 'RWB...', 'RWS...', 'RWD...', 'RWT...', 'RWI...', 'RWM...', 'RWX...', 'RFB...', 'RFS...', 'RFD...', 'RFT...', 'RFI...', 'RFM...', 'RFX...', 'DB...', 'DC...', 'DW...', 'DT...', 'DG...', 'DA...', 'DN...', 'DD...', 'DM...', 'DY...', 'DX...', 'DE...B', 'DE...S', 'DE...D', 'DE...T', 'DE...I', 'DE...N', 'DE...M', 'DE...X', 'DS...B', 'DS...S', 'DS...D', 'DS...T', 'DS...I', 'DS...N', 'DS...M', 'DS...X', 'JE...', 'JC...', 'JR...', 'JT...', 'JX...'

Tag, section: 1.1.9.2.1.4 [NtnlCcy](#)

Status	Error code	Description
ARRJ	CON-453	Waluta nominalna 2 nie ma zastosowania w przypadku danego instrumentu / Notional currency 2 is not applicable for the given instrument

R201 – R201 Rule "ESMA_Can_Be_Populated"

Checks that the notional currency code 2 is absent if notional currency code 1 is absent.

Tag, section: 1.1.9.2.1.4 [NtnlCcy](#)

Status	Error code	Description
ARRJ	CON-450	Podano walutę nominalną 2, ale brak waluty nominalnej 1 / Currency code is not valid for the trade date

R202 – R202 Rule "ESMA_Investment_Decision_Maker"

Checks the country code of the buyer decision maker or the seller decision maker if the National Id is used.

Tag, section: 1.1.5.2 and 1.1.6.2 [DcsnMakr \(Prsn\)](#)

Status	Error code	Description
ARRJ	CON-121	Kod identyfikacyjny decydenta kupującego XXX nie zawiera ważnego kodu kraju / Buyer decision maker identification code XXX does not include valid country code
ARRJ	CON-211	Kod identyfikacyjny decydenta sprzedającego XXX nie zawiera ważnego kodu kraju / Seller decision maker identification code XXX does not include valid country code

R203 – R203 Rule ESMA_Country_Of_Branch"

Checks the country code of the branch responsible for the person making the investment decision.

Tag, section: 1.1.10 [InvstmtDcsnPrsn](#) (1.1.10.1.1 [CtryOfBrnch](#))

Status	Error code	Description
ARRJ	CON-580	Kod kraju oddziału osoby podejmującej decyzję nieważny na datę transakcji / Country code of branch of investment decision person is not valid for the trade date

R204 – R204 Rule "ESMA_Concat_Validation_Complex_Rule"

Checks the format of the CONCAT code in the field Investment decision within firm.

Tag, section: 1.1.10 [InvstmtDcsnPrsn](#)

Status	Error code	Description
ARRJ	CON-574	Nieprawidłowy format kodu osoby podejmującej decyzję wewnątrz firmy / The format of the investment decision person within firm code is incorrect

R205 – R205 Rule "ESMA_Nidn_Ccpt_Validation_Complex_Rule"

Checks the format of the national identifier or the passport number in the field Investment decision within firm.

Tag, section: 1.1.10 [InvstmtDcsnPrsn](#)

Status	Error code	Description
ARRJ	CON-574	Nieprawidłowy format kodu osoby podejmującej decyzję wewnątrz firmy / The format of the investment decision person within firm code is incorrect

R206 – R206 Rule "ESMA_Executing_Person_Mandatory_Complex_Rule"

Checks the format of the national identifier or the passport number of the execution within the firm.

Tag, section: 1.1.11 [ExctgPrsn](#)

Status	Error code	Description
ARRJ	CON-590	Krajowy kod identyfikacyjny nie zawiera ważnego kodu kraju / The national identification code does not include valid country code

R207 – R207 Rule "ESMA_Country_Of_Branch"

Checks the country code of the branch responsible for the person making the investment decision.

Tag, section: 1.1.11 [ExctgPrsn](#) (1.1.11.1.1 [CtryOfBrnch](#))

Status	Error code	Description
ARRJ	CON-600	Kod kraju oddziału osoby podejmującej decyzję nieważny na datę transakcji / Country code of branch of executing person is not valid for the trade date

R208 – R208 Rule "ESMA_Nidn_Ccpt_Validation_Complex_Rule"

Checks the format of the national identifier or the passport number of the execution within the firm.

Tag, section: 1.1.11 [ExctgPrsn](#)

Status	Error code	Description
ARRJ	CON-591	Nieprawidłowy format kodu osoby wykonującej transakcję / The format of the executing person code is incorrect

R209 – R209 Rule "ESMA_Nidn_Ccpt_Validation_Complex_Rule"

Checks the format of the national identifier or the passport number of the execution within the firm.

Tag, section: 1.1.11 [ExctgPrsn](#)

Status	Error code	Description
ARRJ	CON-591	Nieprawidłowy format kodu osoby wykonującej transakcję / The format of the executing person code is incorrect

R210 – R210 Rule "ESMA_Commodity_Derivative_Indicator"

Checks the 'true'/'false' choice, which is allowed only for commodity derivative instruments where CFI (in the report or the FIRDS list) follows the pattern: OC....., 'OP.....', 'FC.....', 'ST.....', 'HT.....', 'JT.....'.

Tag, section: 1.1.12.4 **RskRdcgTx**

Status	Error code	Description
ARRJ	CON-640	Brak wskaźnika kontraktu pochodnego na towary / Commodity derivative indicator is missing

R211 – R211 Rule "ESMA_Buyer_Birth_date"

Checks whether the date of the transaction is not less than the date of birth of the buyer.

Tag, sekcja: 1.1.5.1.1.3.3 **BirthDt**

Status	Error code	Description
ARRJ	CON-110	Data urodzin kupującego jest większa niż data zawarcia transakcji / Date of birth for Buyer is later than trading date

R212 – R212 Rule "ESMA_Buyer_decision_maker_Birth_date"

Checks that the date of the transaction is not less than the date of birth of the buyer decision maker.

Tag, sekcja: 1.1.5.2.2.3 **BirthDt**

Status	Error code	Description
ARRJ	CON-150	Data urodzin podejmującego decyzję w imieniu kupującego jest większa niż data zawarcia transakcji / Date of birth for buyer decision maker is later than trading date

R213 – R213 Rule "ESMA_Seller_Birth_date"

Checks whether the transaction date is not less than the seller's date of birth.

Tag, sekcja: 1.1.6.1.1.3.3 **BirthDt**

Status	Error code	Description
ARRJ	CON-200	Data urodzin sprzedającego jest większa niż data zawarcia transakcji / Date of birth for seller is later than trading date

R214 – R214 Rule "ESMA_Seller_decison_maker_Birth_date"

Checks that the date of the transaction is not less than the date of birth of the Seller decision maker.

Tag, sekcja: 1.1.6.2.2.3 **BirthDt**

Status	Error code	Description
ARRJ	CON-240	Data urodzin podejmującego decyzję w imieniu sprzedającego jest większa niż data zawarcia transakcji / Date of birth for seller decision maker is later than trading date

R215 – R215 Rule "ESMA_Trading_venue"

The rule checks if the Trading venue field has the value 'XXXX' or 'XOFF' if the order transmission indicator is 'true'.

Tag, sekcja: 1.1.8.7 **TradVn**

Status	Error code	Description
ARRJ	CON-362	Wartość w polu Trading venue jest niepoprawna / Trading venue field is incorrect

R216 – R216 Rule "ESMA_Country_Of_Branch"

Checks if the Country of branch membership field has not been populated, when the MIC code field has the value 'XXXX', 'XOFF' or belongs to SI.

Tag, sekcja: 1.1.8.8 CtryOfBrnch

Status	Error code	Description
ARRJ	CON-372	Kod kraju oddziału nie powinien być raportowany/ Country of branch membership should not be reported.

I.2. Additional ESMA checks

This section presents the validation rules defined by ESMA in 2016-1521_annex_1_mifir_transaction_reporting_validation_rules. The rules are coded in the following format: SXXX, where X is an ordinal number.

S010 – ESMA Rule Id 010 Trading venue transaction identification code

Checks that the Trading venue transaction identification code is absent if Trading venue is populated with a MIC code of a non-EEA trading venue or 'XXXX' or 'XOFF'.

Tag, section: 1.1.8.10 [TradPlcMchglId](#)

Status	Error code	Description
ARRJ	CON-030	Identyfikator transakcji z systemu obrotu niezgodny z miejscem zawarcia transakcji / Trading venue transaction identification code is inconsistent with the trading venue

S119 – ESMA Rule Id 119 Country of the branch membership

Checks that the country code of the branch of the investment firm is an ISO 3166 code at the trade date (FTRANSTOF set TRANDT field)

Tag, section: 1.1.8.8 [CtryOfBrnch](#)

Status	Error code	Description
ARRJ	CON-371	Kod kraju nieważny na datę transakcji / Country code is not valid for the trade date

S124 – ESMA Rule Id 124 Up-front payment currency

Checks that the currency code is a valid ISO 4217 code at the trade date.

The following special currency codes are not allowed: XAG, XAU, XBA, XBB, XBC, XBD, XDR, XEU, XFU, XPD, XPT, XXX.

Tag, section: 1.1.8.9.1 [Amt](#) (in section 1.1.8.9 [UpFrntPmt](#))

Status	Error code	Description
ARRJ	CON-390	Kod waluty nieważny na datę transakcji / Currency code is not valid for the trade date

S136 – ESMA Rule Id 136 Instrument classification

Checks that the instrument classification matches the option exercise style OptnExrcStyle:

1. If exercise style = 'EURO', CFI must be included in the following list: 'O.E...', 'H..A..', 'H..D..', 'H..G..';
2. If exercise style = 'AMER', CFI must be included in the following list: 'O.A...', 'H..B..', 'H..E..', 'H..H..';
3. If exercise style = 'BERM', CFI must be included in the following list: 'O.B...', 'H..C..', 'H..F..', 'H..I..';

Tag, section: 1.1.9.2.1.3 [ClssfctnTp](#) (in comparison with 1.1.9.2.3.6 [OptnExrcStyle](#))

Status	Error code	Description
ARRJ	CON-431	Kod CFI niezgodny z rodzajem wykonania opcji / CFI code is inconsistent with option exercise style

S148 – ESMA Rule Id 148 Underlying instrument code

Checks that the underlying instrument is included in the FIRDS reference database at the trade date for the following transactions:

1. OTC transactions:

- a) field 36 is populated with 'XXXX'; and
- b) underlying instrument is not an index;

2. Transactions executed on non-EEA organised trading venues:

- a) field 36 is populated with an MIC code which is non-EEA or 'XOFF'; and
- b) 'Instrument identification code' (field 41) is void or is populated with an instrument identification code which is not included in the reference database; and
- c) underlying instrument is not an index.

Tag, section: 1.1.9.2.3.3 UndrlygInstrm

Status	Error code	Description
ARWR	CON-471	Instrument bazowy nie występuje na liście FIRDS / Underlying instrument is not present on FIRDS list

S150 – ESMA_Rule_Id_150_Underlying_instrument_code

Checks that the check digit of the ISIN code is valid according to the ISIN validation algorithm under ISO 6166:2013.

Tag, section: 1.1.9.2.3.3 UndrlygInstrm

Status	Error code	Description
ARRJ	CON-470	Nieważny kod ISIN / The ISIN code is invalid

S180 – ESMA_Rule_Id_180_Investment decision within firm

Checks that, where a national identifier is used, the first two characters of the national identifier are a country code which is an ISO 3166 code active at the trade date.

Tag, section: 1.1.10.1.2.1 Id

Status	Error code	Description
ARRJ	CON-573	Krajowy kod identyfikacyjny nie zawiera prawidłowego kodu kraju / The national identification code does not include valid country code

S222 – ESMA_Rule_Id_222_CFI_code_is_inconsistent_with_option_exercise_style

Checks that CFI is a valid ISO 10962:2015 CFI.

CFI is included in the FIRDS list or in field 1.1.9.2.1.3 ClssfctnTp.

Tag, section: 1.1.9.2.1.3 ClssfctnTp or the value attributed in the FIRDS list to the instrument in field 1.1.9.1 ISIN

Status	Error code	Description
ARRJ	CON-430	Nieprawidłowy identyfikator klasyfikacji instrumentu CFI / Instrument classification identifier is incorrect

S269 – ESMA_Rule_Id_269_Trading_date_time

Checks that the trade date is not earlier than the agreed date of the first day of trading to be reported under MiFIR and not earlier than 5 years before today's date.

Tag, section: 1.1.8.1 TradDt

Status	Error code	Description
ARRJ	CON-281	Zbyt wczesna data transakcji / Trade date is too far in the past

1.2. Personal data and SHORTCODE rules

This section presents KDPW_ARM validation rules dedicated to checks of personal data and the SHORTCODE in auth.rpt.001.01.

C003. Checks that the active SHORTCODE is present in the transaction details message.		
Tag, section: 1.1.5.1.1.5 / 1.1.6.1.1.5 / 1.1.7.2.2 / 1.1.7.3.2 / 1.1.10.3 / 1.1.11.4 ShrtCode		
Status	Error code	Description
ARRW	CLT-003	Wskazany SHORTCODE nie występuje w bazach KDPW_ARM / SHORTCODE is not active in KDPW_ARM database

C005. Checks that the SHORTCODE refers to a group client.		
Tag, section: 1.1.7.2.2 / 1.1.7.3.2 / 1.1.10.3 / 1.1.11.4 ShrtCode		
Status	Error code	Description
ARRJ	CLT-005	SHORTCODE odwołuje się do więcej niż jednej osoby / SHORTCODE refers to more than one person

C012. Checks that the reporting participant (if the participant responsible for the SHORTCODE is reported and its LEI is different than the LEI of the reporting participant) has the participation type of representative.		
Tag, section: 1.1.4 SubmitgPty		
Status	Error code	Description
ARPD	CLT-012	Brak umowy reprezentacji / No representation agreement

C101. Checks that the SHORTCODE does not refer to the client LEI for a specific trade report section.		
Tag, section: / 1.1.10.3 / 1.1.11.4 ShrtCode		
Status	Error code	Description
ARRJ	CLT-005	SHORTCODE odwołuje się do LEI / SHORTCODE refers to LEI

C201. Checks that the SHORTCODE does not refer to the client MIC for a specific trade report section.		
Tag, section: 1.1.5.2.3 / 1.1.6.2.3 / 1.1.7.2.2 / 1.1.7.3.2 / 1.1.10.3 / 1.1.11.4 ShrtCode		
Status	Error code	Description
ARRJ	CLT-201	SHORTCODE odwołuje się do kodu MIC / SHORTCODE refers to MIC

C301. Checks that the SHORTCODE does refer to the client first name for a specific trade report section.		
Tag, section: 1.1.5.1.1.5 / 1.1.5.2.3 / 1.1.6.1.1.5 / 1.1.6.2.3 ShrtCode		

Status	Error code	Description
ARRJ	CLT-301	Wskazany SHORTCODE nie zawiera imienia / SHORTCODE doesn't contain client first name

C302. Checks that the SHORTCODE does refer to the client family name for a specific trade report section.

Tag, section: 1.1.5.1.1.5/ 1.1.5.2.3 / 1.1.6.1.1.5 / 1.1.6.2.3 [ShrtCode](#)

Status	Error code	Description
ARRJ	CLT-302	Wskazany SHORTCODE nie przechowuje nazwiska klienta / SHORTCODE doesn't contain client last name

C303. Checks that the SHORTCODE does refer to the client birthdate for a specific trade report section.

Tag, section: 1.1.5.1.1.5/ 1.1.5.2.3 / 1.1.6.1.1.5 / 1.1.6.2.3 [ShrtCode](#)

Status	Error code	Description
ARRJ	CLT-303	Wskazany SHORTCODE nie przechowuje daty urodzenia klienta / SHORTCODE doesn't contain client date of birth

C304. Checks that the client identifier which is a CCPT or NIDN code follows the pattern ([A-Z]{2,2}[A-Z0-9]{1,33}).

Tag, section: 1.1.5.1.1.3.4.1 / 1.1.5.2.2.4.1/ 1.1.6.1.1.3.4.1 / 1.1.6.2.2.4.1/ 1.1.10.1.2.1/ 1.1.11.1.2.1 [Id](#)

Status	Error code	Description
ARRJ	CLT-304	Nieprawidłowy format identyfikatora klienta w typie NIDN or CCPT / Incorrect format of CCPT or NIDN type of client id

C305. Checks that the client identifier which is a CONCAT code follows the pattern ([A-Z]{2,2}[0-9]{8}[A-Z]{1}[AZ#]{4}[A-Z]{1}[A-Z#]{4}).

Tag, section: 1.1.5.1.1.3.4.1 / 1.1.5.2.2.4.1/ 1.1.6.1.1.3.4.1 / 1.1.6.2.2.4.1/ 1.1.10.1.2.1/ 1.1.11.1.2.1 [Id](#)

Status	Error code	Description
ARRJ	CLT-305	Nieprawidłowy format identyfikatora klienta w typie CONCAT / Incorrect format of CONCAT type of client id

C306. Checks that the country code in the client identifier prefix is active.

Tag, section: 1.1.5.1.1.3.4.1 / 1.1.5.2.2.4.1/ 1.1.6.1.1.3.4.1 / 1.1.6.2.2.4.1/ 1.1.10.1.2.1/ 1.1.11.1.2.1 [ShrtCode](#)

Status	Error code	Description
ARRJ	CLT-306	Nieprawidłowy kod kraju w identyfikatorze osoby fizycznej / Invalid country code of natural person identifier.

C307. Checks that the client identifier which is a NIDN code with the prefix "PL" follows the PESEL or NIP format. The only values allowed in the NIDN field follow the patterns: 'PLXXXXXXXXXX' (for PESEL) or 'PLXXXXXXXXXX' (for NIP), where 'X' is a digit in the 0- 9 range.

Tag, section: 1.1.5.1.1.3.4.1 / 1.1.5.2.2.4.1/ 1.1.6.1.1.3.4.1 / 1.1.6.2.2.4.1/ 1.1.10.1.2.1/ 1.1.11.1.2.1 [Id](#)

Status	Error code	Description
ARRJ	CLT-307	Nieprawidłowy format PESEL lub NIP/ Incorrect format of PESEL or NIP

C308. Checks the PESEL or NIP check digit if the client identifier is a PESEL or NIP.		
Tag, section: 1.1.5.1.1.3.4.1 / 1.1.5.2.2.4.1/ 1.1.6.1.1.3.4.1 / 1.1.6.2.2.4.1/ 1.1.10.1.2.1/ 1.1.11.1.2.1 Id		
Status	Error code	Description
ARRJ	CLT-308	Nieprawidłowa cyfra kontrolna PESEL lub NIP/ Incorrect check id of PESEL or NIP

C309. Checks that the client birthdate (if reported) is equal to the PESEL birthdate if the client identifier is a PESEL.		
Tag, section: 1.1.5.1.1.3.3 / 1.1.5.2.2.3 / 1.1.6.1.1.3.3 / 1.1.6.2.2.3 / BirthDt		
Status	Error code	Description
ARRJ	CLT-309	Nieprawidłowa data urodzenia / Incorrect date of birth

C310. Checks that the birthdate (if reported) is not more than 120 years old.		
Tag, section: 1.1.5.1.1.3.3 / 1.1.5.2.2.3 / 1.1.6.1.1.3.3 / 1.1.6.2.2.3 / BirthDt		
Status	Error code	Description
ARRJ	CLT-310	Nieprawdopodobna data urodzenia / Impossible date of birth

C311. Checks that the client birthdate (if reported) is equal to the CONCAT birthdate if the client identifier is a CONCAT.		
Tag, section: 1.1.5.1.1.3.3 / 1.1.5.2.2.3 / 1.1.6.1.1.3.3 / 1.1.6.2.2.3 / BirthDt		
Status	Error code	Description
ARRJ	CLT-311	Nieprawidłowa data urodzenia / Incorrect date of birth

C312. Checks that the SHORTCODE does not refer to the client personal data for a specific trade report section (transmitting firm).		
Tag, section: 1.1.7.2 / 1.1.7.3 ShrtCode		
Status	Error code	Description
ARRJ	CLT-312	Firma przekazująca nie może być oznaczona identyfikatorem krajowym / Person identifier is not allowed to indicate transmitting firm

C401. Checks that the SHORTCODE does not refer to the client code "Internal party" for a specific trade report section.		
Tag, section: 1.1.5.2.3 / 1.1.6.2.3 / 1.1.7.2.2 / 1.1.7.3.2 / 1.1.10.3 / 1.1.11.4 ShrtCode		
Status	Error code	Description
ARRJ	CLT-401	Identyfikator przypisany do SHORTCODE odwołuje się do kodu "Internal party" / Id assigned to SHORTCODE refers to "Internal party" code

C501. Checks that the SHORTCODE does not refer to the client code "Algo" for a specific trade report section.		
Tag, section: 1.1.5.1.1.5/ 1.1.5.2.3 / 1.1.6.1.1.5 / 1.1.6.2.3 / 1.1.7.2.2 / 1.1.7.3.2 ShrtCode		
Status	Error code	Description

ARRJ	CLT-501	Identyfikator przypisany do SHORTCODE odwołuje się do kodu "Algo" / Id assigned to SHORTCODE refers to "Algo" code
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C601. Checks that the SHORTCODE does not refer to the client code "Clnt" for a specific trade report section.		
Tag, section: 1.1.5.1.1.5/ 1.1.5.2.3 / 1.1.6.1.1.5 / 1.1.6.2.3 / 1.1.7.2.2 / 1.1.7.3.2 / 1.1.10.3 / 1.1.11.4 ShrtCode		
Status	Error code	Description
ARRJ	CLT-601	Identyfikator przypisany do SHORTCODE odwołuje się do kodu "Clnt" / Id assigned to SHORTCODE refers to "Clnt" code

C702. Checks that the SHORTCODE refers to the country code of the branch for a specific trade report section.		
Tag, section: 1.1.5.1.1.5/ 1.1.6.1.1.5 / 1.1.10.3 / 1.1.11.4 ShrtCode		
Status	Error code	Description
ARRJ	CLT-702	Brak kodu kraju oddziału przy wskazanym SHORTCODE / There is no country of branch assigned to SHORTCODE

I.3. Other KDPW_ARM rules and checks

This section presents the KDPW_ARM validation rules dedicated to other KDPW_ARM checks performed upon the receipt of auth.rpt.001.01.

D002. Checks that the institution code of the investment firm executing the transaction is present in the ARM participant database.		
Tag, section: 1.1.2.2 KDPWMmbld		
Status	Error code	Description
ARRJ	DON-002	Kod instytucji wykonującej transakcje jest nieprawidłowy / Wrong institution code of executing entity

D003. Checks that the institution code of the investment firm transmitting the transaction report is present in the ARM participant database.		
Tag, section: 1.1.4.2 KDPWMmbld		
Status	Error code	Description
ARRJ	DON-003	Kod instytucji wykonującej transakcje jest nieprawidłowy / Wrong institution code of executing entity

D007. Checks that the LEI is included in the GLEIF global LEI database.		
Tag, section: 1.1.2.1 LEI		
Status	Error code	Description

ARRJ	DON-007	Kod LEI instytucji wykonującej transakcje jest nieprawidłowy / Wrong LEI code of executing entity
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D009. Checks that KDPW_ARM reports for the jurisdiction of the investment firm.

Tag, section: lub 1.2.2.1 [LEI](#) lub 1.1.2.2 [KDPWMmbld](#)

Status	Error code	Description
ARRJ	KON-108	KDPW ARM nie wspiera raportowania do organu nadzoru właściwego dla jurysdykcji firmy inwestycyjnej / KDPW ARM does not support reporting process to NCA from jurisdiction of the investment firm

K001. Checks that the instrument marked with the ISIN code is included in the FIRDS list.

Tag, section: 1.1.9.1 [Id](#)

Status	Error code	Description
ARWR	KON-001	Instrument nie występuje na liście FIRDS / Financial instrument is not published on FIRDS list

K002. Checks that short selling of derivatives is absent. Checks that the field is void for instruments which follow the patterns: 'F.....', 'O.....', 'H.....', 'I.....', 'J.....', 'K.....'.

CFI is included in the FIRDS list or in field 1.1.9.2.1.3 [ClssfctnTp](#).

Tag, section: 1.1.12.2 [ShrtSellgInd](#)

Status	Error code	Description
ARRJ	KON-002	Wskaźnik krótkiej sprzedaży nie może wystąpić / Short sale indicator should be blank

K003. Checks that the OTC post-trade indicator is populated as follows:

- 'SDIV', 'RPRI', 'DUPL', 'TNCP' are allowed if CFI follows the pattern: 'E.....', 'C.....', 'R.....',
- 'TPAC' 'XFPH' are allowed if CFI follows a pattern other than 'E.....', 'C.....', 'R.....'.

CFI is included in the FIRDS list or in field 1.1.9.2.1.3 [ClssfctnTp](#).

Tag, section: 1.1.12.3 [OTCPstTradInd](#)

Status	Error code	Description
ARRJ	KON-003	Nieprawidłowa wartość wskaźnika OTC / OTC Post trade indicator is incorrect

K004. Checks that the SFTR transaction indicator is absent for derivatives if CFI follows the pattern: 'F.....', 'O.....', 'H.....', 'I.....', 'J.....', 'K.....' (the field must be void).

CFI is included in the FIRDS list or in field 1.1.9.2.1.3 [ClssfctnTp](#).

Tag, section: 1.1.12.5 [SctiesFincgTxInd](#)

Reguła	Error code	Description
ARRJ	KON-004	Wskaźnik transakcji SFTR nie może wystąpić / SFTR indicator should be blank

K005. Checks that the Derivative notional increase/decrease indicator is absent for non-derivatives if CFI follows the pattern: 'F.....', 'O.....', 'H.....', 'I.....', 'J.....', 'K.....' (the field must be void).
CFI is included in the FIRDS list or in field 1.1.9.2.1.3 **ClsfctnTp**.

Tag, section: 1.1.8.4 **DerivNtnlChng**

Status	Error code	Description
ARRJ	KON-005	Wzrost, spadek wartości referencyjnej instrumentu pochodnego nie powinien występować / Derivative notional increase, decrease indicator should be blank

K006. Checks that an improbable nominal amount is absent. Rejects transactions if the amount is over 100 000 000 000 (expressed in PLN or EUR or GBP).

Tag, section: 1.1.8.3 2 **NmnlVal**

Status	Error code	Description
ARRJ	KON-006	Nierzeczywista wartość nominału transakcji / Unrealistic nominal value

K007. Checks that an improbable nominal amount is absent. Rejects transactions if the amount is over 10 000 000 000 (expressed in PLN or EUR or GBP).

Tag, section: 1.1.8.3 3 **MntrlVal**

Status	Error code	Description
ARRJ	KON-007	Nierzeczywista wartość monetarna transakcji / Unrealistic monetary value

K008. Checks that the investment firm executing the transaction and marked with an institution code is a KDPW_ARM participant.

Tag, section: 1.1.2.2 lub 1.2.2.2 **KDPWMmbld**

Status	Error code	Description
ARRJ	KON-008	Firma wykonująca transakcję nie jest uczestnikiem KDPW_ARM / The Executing Entity is not to a KDPW_ARM participant

K009. Checks that the LEI of the investment firm is the LEI of a KDPW_ARM participant.

Tag, section: 1.1.2.1 lub 1.2.2.1 **LEI**

Status	Error code	Description
ARRJ	KON-009	Wskazane LEI nie należy do uczestnika KDPW_ARM / The LEI of Executing Entity not belongs to KDPW_ARM participant

K010. Checks that the investment firm transmitting the report and marked with an institution code is a KDPW_ARM participant.

Tag, section: 1.1.4.2 lub 1.2.3.2 **KDPWMmbld**

Status	Error code	Description
ARRJ	KON-010	Firma przekazująca transakcję nie jest uczestnikiem KDPW_ARM / Submitting entity is not to a KDPW_ARM participant

K011. Checks that the LEI of the transmitting firm is the LEI of a KDPW_ARM participant.

Tag, section: 1.1.4.1 lub 1.2.4.1 **LEI**

Status	Error code	Description
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ARRJ	KON-011	LEI firmy przekazującej nie należy do uczestnika KDPW_ARM / The LEI of Submitting Entity not belongs to KDPW_ARM participant
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K012. Checks that the reporting representative (submitting entity) is authorised to report on behalf of the executing entity.

Tag, section: **exctgPty**, **submitgPty**

Status	Error code	Description
ARRJ	KON-012	Podmiot składający raport nie jest reprezentantem dla firmy wykonującej transakcję / Submitting entity is not allowed to represent the Executing entity

K013. Checks that the ISIN code reported in the instrument details (section Instrument attributes – tag 1.1.9.2.1.1 Id) is included in the FIRDS list. If the instrument is included in the FIRDS list, the report is rejected. Instruments included in the FIRDS list should have tag id – 1.1.9.1.

Tag, section: 1.1.9.2.1 **FinInstrmGnlAttrbts** (1.1.9.2.1.1 **Id**)

Status	Error code	Description
ARRJ	KON-013	Instrument występuje na liście FIRDS / The ISIN is published on FIRDS list

K014. Checks that the ISIN code of an instrument not included in the FIRDS list is an ISO 6166:2013 code (format and check digit).

Tag, section: 1.1.9.2.1.1 **Id**

Status	Error code	Description
ARRJ	KON-014	Wsakany ISIN jest nieprawidłowy / The ISIN code is invalid

K015. Checks that the CFI included in the FIRDS list is an ISO 10962:2015 code (format and selected characters).

Tag, section: 1.1.9.2.1.3 **ClssfctnTp**

Status	Error code	Description
ARRJ	KON-015	Wskazany CFI jest nieprawidłowy / The CFI code is invalid

K016. Checks that the transaction is executed after the expiry date of the derivative (tag 1.1.9.2.3.1 **XpryDt** or value in the FIRDS list for the instrument reported in field 1.1.9.1 **ISIN**).

Tag, section: 1.1.8.1 **TradDt**

Status	Error code	Description
ARRJ	KON-016	Transakcja na wygasłym instrumencie / The Instrument has expired

K017. Checks that the transaction is executed after the maturity date of the derivative (tag 1.1.9.2.2.1 **MtrtyDt** or value in the FIRDS list for the instrument reported in field 1.1.9.1 **ISIN**).

Tag, section: 1.1.8.1 **TradDt**

Status	Error code	Description
ARRJ	KON-017	Transakcja zawarta po dacie zapadalności instrumentu / The Instrument has expired

K018. Checks that the transmitting entity is an ARM participant.

Tag, section: 1.1.4. **SubmitgPty**, 1.1.2.2 **KDPWMmbld**

Status	Error code	Description
ARRJ	KON-018	Kod instytucji wysyłającej komunikat nie jest zarejestrowany w ARM / Sender institution code isn't register in ARM

K019. Checks that the Trading venue transaction identifier from the Polish jurisdiction is present (tag 1.1.8.7 [TradVn](#) –MIC code with country code 'PL').

Tag, section: 1.1.8.10 [TradPlcMtchgid](#)

Status	Error code	Description
ARRJ	KON-019	Brak wypełnienia identyfikatora transakcji nadanego przez rynek / Trade Place Matching ID cannot be blank

K020. Checks the Trading venue transaction identifier issued by a GPW trading venue (tag 1.1.8.7 [TradVn](#) – MIC code of GPW). Validation rules:

- MIC (first 4 characters of the identifier) – checks that the MIC in [TradPlcMtchgid](#) is equal to the code in [TradVn](#),
- InstrumentID (characters 5 to 16 of the identifier) – checks that the identifier is included in the GPW ISIN / pseudo-ISIN code list,
- nrTransakcji (from character 17 of the identifier) – checks that all characters are digits.

Tag, section: 1.1.8.10 [TradPlcMtchgid](#)

Status	Error code	Description
ARRJ	KON-020	Nieprawidłowy identyfikator transakcji zawartej na GPW/ Wrong Trade Place Matching ID

II. Personal data report validation rules

This section presents the KDPW_ARM validation rules dedicated to auth.rpt.001.01.

C001. Checks that an active SHORTCODE is present and that the SHORTCODE is absent if the report is "New".

Tag, section: 2.1.2 [ShrtCd](#)

Status	Error code	Description
ARRJ	CLT-001	Wskazany SHORTCODE już występuje w bazach KDPW_ARM / Indicated SHORTCODE has been already registered in KDPW_ARM database

C002. Checks that an active SHORTCODE is present if the report is "Updt" or "Cxl".

Tag, section: 2.2.2. lub 2.3.2 [ShrtCd](#)

Status	Error code	Description
ARRJ	CLT-002	Wskazany SHORTCODE nie występuje w bazach KDPW_ARM / Indicated SHORTCODE is not active in KDPW_ARM database

C006. Checks that the **MIC** code is not reported with a different identifier type for the SHORTCODE.

Tag, section: 2.1.3.1 lub 2.3.3.1 **Id**

Status	Error code	Description
ARRJ	CLT-006	Nieprawidłowe przypisanie MIC do SHORTCODE / Incorrect use of MIC

C007. Checks that the **Algo** code is not reported with a different identifier type for the SHORTCODE.

Tag, section: 2.1.3.1 lub 2.3.3.1 **Id**

Status	Error code	Description
ARRJ	CLT-007	Nieprawidłowe przypisanie identyfikatora alorytmu do SHORTCODE / Incorrect use of Algorithm id

C008. Checks that the **Clnt** code is not reported with a different identifier type for the SHORTCODE.

Tag, section: 2.1.3.1 lub 2.3.3.1 **Id**

Status	Error code	Description
ARRJ	CLT-008	Nieprawidłowe przypisanie identyfikatora klienta do SHORTCODE / Incorrect use of Client id

C010. Checks that the LEI of the reporting entity is active at the date and time of the check.

Tag, section: 1.1 **RptgNtty**

Status	Error code	Description
ARPD	CLT-010	Kod LEI raportującego jest nieważny / LEI of reporting entity is invalid

C011. Checks that the LEI of the participant responsible for the SHORTCODE (if reported) is active at the date and time of the check.

Tag, section: 2.1.1 **LEI**

Status	Error code	Description
ARPD	CLT-011	Kod LEI uczestnika prowadzącego SHORTCODE jest nieważny / LEI of entity managing a SHORTCODE is invalid

C012. Checks that the reporting participant (if the participant responsible for the SHORTCODE is reported and its LEI is different than the LEI of the reporting participant) has the participation type of representative.

Tag, section: 1.1 **RptgNtty**

Status	Error code	Description
ARPD	CLT-012	Składający raport nie jest reprezentantem / The entity submitting report is not a representant

C102. Checks that the LEI for the SHORTCODE is active at the date and time of the check.

Tag, section: 2.1.3.1.1 or 2.3.3.1.1 LEI		
Status	Error code	Description
ARRJ	CLT-102	LEI przypisane do SHORTCODE jest nieważne / LEI assigned to SHORTCODE is invalid

C202. Checks that the MIC for the SHORTCODE is active at the date and time of the check.

Tag, section: 2.1.3.1.2 or 2.3.3.1.2 **MIC**

Status	Error code	Description
ARRJ	CLT-202	MIC przypisany do SHORTCODE jest nieważny / MIC assigned to SHORTCODE is invalid

C304. Checks that the client identifier which is a CCPT or NIDN code follows the pattern ([A-Z]{2,2}[A-Z0-9]{1,33}).

Tag, section: 2.1.3.1.3.4.1 or 2.3.3.1.3.4.1 **Id**

Status	Error code	Description
ARRJ	CLT-304	Nieprawidłowy format identyfikatora klienta w typie NIDN ot CCPT / Incorrect format of CCPT or NIDN type of client id

C305. Checks that the client identifier which is a CONCAT code follows the pattern ([A-Z]{2,2}[0-9]{8}[A-Z]{1}[AZ#]{4}[A-Z]{1}[A-Z#]{4}).

Tag, section: 2.1.3.1.3.4.1 or 2.3.3.1.3.4.1 **Id**

Status	Error code	Description
ARRJ	CLT-305	Nieprawidłowy format identyfikatora klienta w typie CONCAT / Incorrect format of CONCAT type of client id

C306. Checks that the country code in the client identifier prefix is active.

Tag, section: 2.1.3.1.3.4.1 or 2.3.3.1.3.4.1 **Id**

Status	Error code	Description
ARRJ	CLT-306	Nieprawidłowy kod kraju / Invalid country code

C307. Checks that the client identifier which is a NIDN code with the prefix "PL" follows the PESEL or NIP format.

Tag, section: 2.1.3.1.3.4.1 or 2.3.3.1.3.4.1 **Id**

Status	Error code	Description
ARRJ	CLT-307	Nieprawidłowy format PESEL lub NIP/ Incorect format of PESEL or NIP

C308. Checks the PESEL or NIP check digit if the client identifier is a PESEL or NIP.

Tag, section: 2.1.3.1.3.4.1 or 2.3.3.1.3.4.1 **Id**

Status	Error code	Description
ARRJ	CLT-308	Nieprawidłowa cyfra kontrolna PESEL lub NIP/ Incorrect check id of PESEL or NIP

C309. Checks that the client birthdate (if reported) is equal to the PESEL birthdate if the client identifier is a PESEL.

Tag, section: 2.1.3.1.3.3 or 2.3.3.1.3.3 **BirthDt**

Status	Error code	Description
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ARRJ	CLT-309	Nieprawidłowa data urodzenia / Incorrect date of birth
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C310. Checks that the birthdate (if reported) is not more than 120 years old.

Tag, section: 2.1.3.1.3.3 lub 2.3.3.1.3.3 **BirthDt**

Status	Error code	Description
ARRJ	CLT-310	Nieprawdopodobna data urodzenia / Impossible date of birth

C311. Checks that the client birthdate (if reported) is equal to the CONCAT birthdate if the client identifier is a CONCAT.

Tag, section: 2.1.3.1.3.3 lub 2.3.3.1.3.3 **BirthDt**

Status	Error code	Description
ARRJ	CLT-311	Nieprawidłowa data urodzenia / Incorrect date of birth

C701. Checks that the country code of the branch is active at the date and time of the check.

Tag, section: 2.1.3.2 lub 2.3.3.2 **CtryOfBrnch**

Status	Error code	Description
ARRJ	CLT-701	Kod kraju oddziału jest nieważny / Country code of the branch is invalid

III. Enrichment message validation rules

III.1. Main validation rules

This section presents the KDPW_ARM validation rules dedicated to auth.enr.001.01.

E001. Rule that validates the market transaction id. The transaction id must be built in accordance with the valid algorithm.

Market transaction id algorithm.

YYYYMMDD || **MIC** || **Symbol** || **P** || **Trade ID**

where:

- **YYYYMMDD** – transaction date on the market – 8 N format.
- **MIC** – four-character MIC code. Allowed values:
 - **XWAR** – WSE main regulated market,
 - **WBON** – WSE bonds market Catalyst – main market,
 - **WMTF** – WSE bonds market Catalyst – MTF,
 - **WETP** – WSE ETF and structured instruments market,
 - **WDER** – WSE regulated derivatives market,
 - **RPWC** – BondSpot regulated main market,
 - **XNCO** – Alternative Trading System WSE,
 - **BOSP** – Alternative Trading System BondSpot,
 - **TBSP** – Treasury BondSpot,

- **WOPO** – WSE - IPO,
- **WIPO** – WSE - SPO.
- **Symbol** –instrument identifier – 12 Txt format:
 - pseudo (quasi) ISIN for block trades;
 - ISO 6166 ISIN for market trades.
- **P** – clients side. Allowed values:
 - **B** – Buyer;
 - **S** – Seller;
 - **A** – All (both)
- **Trade Id** – Transaction identifier from WSE/BondSpot - max. 27 N.

Attention! Transactions from the TBSP market are not the subject of enrichment.

Sample id transaction:

20171010XWARPLALIOR0004BS1

20171010XWARPLALIOR0004BS11838

Tag, section: 1.1.1 **TxId**

Status	Error code	Description
ARRJ	ENR-001	Błędny identyfikator transakcji / Wrong trade id

E002. Checks that the date in the unique transaction identifier is between the current date (date of receipt of the report) and the previous business day.

Tag, section: 1.1.1 **TxId**

	Error code	Description
ARRJ	ENR-002	Błędna data w identyfikatorze transakcji / Incorrect date component of Trade id

E003. Checks that the MIC code in the unique transaction identifier identifies a market accepted for simplified reporting to KDPW_ARM (other than TBSP).

Tag, section: 1.1.1 **TxId**

Status	Error code	Description
ARRJ	ENR-003	Błędny kod MIC w identyfikatorze transakcji / Incorrect MIC code component of Trade id

E004. Checks that the ISIN (or pseudo-ISIN) code in the unique transaction identifier is an ISIN (or pseudo-ISIN) code on a market accepted for simplified reporting to KDPW_ARM.

Tag, section: 1.1.1 **TxId**

Status	Error code	Description
ARRJ	ENR-004	Błędny SYMBOL w identyfikatorze transakcji / Incorrect SYMBOL component of Trade id

E005. Checks that the counterparty indicator in the unique transaction identifier is 'B' or 'S' or 'A'.

Tag, section: 1.1.1 TxId		
Status	Error code	Description
ARRJ	ENR-005	Błędna strona w identyfikatorze transakcji / Incorrect side component of Trade id

E006. Checks that a transaction with the reported identifier is present in the KDPW_ARM database. If KDPW_ARM has not received such transaction from the market, the report will be validated again after the transaction is received or the report will be rejected if no such transaction is received from the market.

Tag, section: 1.1.1 TxId		
Status	Error code	Description
ARPD	ENR-006	Wzbogacana transakcja nie występuje w bazach / Transaction being enriched cannot be found

E007. Checks that the transaction in the enrichment report was reported in the simplified procedure (i.e., based on data provided by the market to KDPW_ARM) – Sender reference 0002 or 0003.

Tag, section: 1.1.1 TxId		
Status	Error code	Description
ARRJ	ENR-007	Wskazana transakcja nie podlega wzbogaceniu w trybie uproszczonym / Trade being enriched does not come from venue

E008. Checks that the transaction was reported to the Supervisor. The report is rejected if the transaction was reported to the Supervisor.

Tag, section: 1.1.1 TxId		
Status	Error code	Description
ARRJ	ENR-008	Wskazana transakcja została już zaraportowana do Nadzorca / Trade being enriched has been already send to Supervisor

E009. Checks that the transaction report was cancelled in the KDPW_ARM database.

Tag, section: 1.1.1 TxId		
Status	Error code	Description
ARRJ	ENR-009	Transakcja została wcześniej anulowana / Transaction has already been cancelled

E010. Checks that the buyer decision maker is reported on the buyer's side. Checks that the buyer decision maker is absent if the buyer field is populated with the LEI of KDPW_CCP or the MIC of the market.

Tag, section: 1.1.2.1 DcsnMakr		
Status	Error code	Description
ARRJ	ENR-010	Podjmujący decyzję w imieniu kupującego nie może wystąpić w raporcie / Buyer Decision Maker should not be populated

E011. Checks that the seller decision maker is reported on the seller's side. Checks that the seller decision maker is absent if the buyer field is populated with the LEI of KDPW_CCP or the MIC of the market.

Tag, section: 1.1.3.1 DcsnMakr		
Status	Error code	Description

ARRJ	ENR-011	Podejmujący decyzję w imieniu sprzedającego nie może wystąpić w raporcie / Seller Decision Maker should not be populated
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E012. Checks that the buyer decision maker / the seller decision maker is reported on both sides only if the waiver is: RFPT, NLIQ, OILQ, PRIC, SIZE, ILQD.

Tag, section: 1.1.2.1 | Tag, section: 1.1.3.1 [DcsnMakr](#)

Status	Error code	Description
ARRJ	ENR-012	Podejmujący decyzję w imieniu klienta nie może występować dla obu stron / Buyer or Seller Decision Maker should not be populated

E013. Checks that the buyer decision maker is present for transactions executed by the investment firm for its own account. If the buyer field is populated with the LEI of the executing investment firm and the investment decision within the firm is present, then the buyer decision maker must be absent.

Tag, section: 1.1.2.1 [DcsnMakr](#)

Status	Error code	Description
ARRJ	ENR-013	Podejmujący decyzję w imieniu kupującego nie może wystąpić w raporcie / Buyer Decision Maker should not be populated

E014. Checks that the seller decision maker is present for transactions executed by the investment firm for its own account. If the seller field is populated with the LEI of the executing investment firm and the investment decision within the firm is present, then the seller decision maker must be absent.

Tag, section: 1.1.3.1 [DcsnMakr](#)

Status	Error code	Description
ARRJ	ENR-014	Podejmujący decyzję w imieniu sprzedającego nie może wystąpić w raporcie / Seller Decision Maker should not be populated

E015. Checks that the buyer decision maker / the seller decision maker is present for transactions executed by the investment firm for the account of a client. If the investment decision within the firm is present and the executing firm is neither the buyer nor the seller, then the buyer decision maker / the seller decision maker must be the executing firm with the reported LEI.

Tag, section: 1.1.2.1 | Tag, section: 1.1.3.1 [DcsnMakr](#)

Status	Error code	Description
ARRJ	ENR-015	Podejmującym decyzję w imieniu klienta musi być FI wykonująca zlecenie / IF must be by buyer or seller decision maker

E015. Checks that the buyer decision maker / the seller decision maker is present for transactions executed by the investment firm for the account of a client. If the investment decision within the firm is present and the executing firm is neither the buyer nor the seller, then the buyer decision maker / the seller decision maker must be the executing firm with the reported LEI.

Tag, section: 1.1.2.1 | Tag, section: 1.1.3.1 [DcsnMakr](#)

Status	Error code	Description
ARRJ	ENR-015	Podejmującym decyzję w imieniu klienta musi być FI wykonująca zlecenie / IF must be by buyer or seller decision maker

E016. Checks that the KDPW_ARM participant submitted a request to build reports based on data received by KDPW from the market.

Tag, section: From, Othr, Id message header BAH (head.001.001.01)

Status	Error code	Description
ARRJ	ENR-016	Wysyłający nie przekazał dyspozycji do budowania raportów / Sending party is not allowed to send enrichment

E017. Checks that auth.enr with pending status ACPD was reported for the transaction to be enriched. Another enrichment report will cause the pending report to be rejected.

E017. Checks that auth.enr with pending status ACPD was reported for the transaction to be enriched. Another enrichment report will cause the pending report to be rejected.

Tag, section: 1.1.1. Tx

Status	Error code	Description
ARRJ	ENR-017	Do wskazanej transakcji zostało przesłane kolejne wzbogacenie / Next enrichment has been send

III.2. Personal data and SHORTCODE rules

This section presents the KDPW_ARM validation rules for personal data and SHORTCODE reported in auth.enr.001.01.

C004. Checks that the SHORTCODE reported for a transaction not transmitted for a market is active.

Tag, section: 1.1.2.1.3 | Tag, section: 1.1.3.1.3 ShrtCode

Status	Error code	Description
ARRJ	CLT-004	Wskazany SHORTCODE nie występuje w bazach KDPW_ARM / Indicated SHORTCODE is not active in KDPW_ARM database

C102. Checks that the LEI for the SHORTCODE is active at the date and time of the check.

Tag, section: 1.1.2.1.3 | Tag, section: 1.1.3.1.3 ShrtCode

Status	Error code	Description
ARRJ	CLT-102	LEI przypisane do SHORTCODE jest nieważne / Invalid LEI assigned to SHORTCODE

C201. Checks that the SHORTCODE does not refer to the client MIC for a specific trade report section.

Tag, section: 1.1.2.1.3 | Tag, section: 1.1.3.1.3 ShrtCode

Status	Error code	Description
ARRJ	CLT-201	SHORTCODE odwołuje się do kodu MIC / SHORTCODE refers to MIC

C302. Checks that the SHORTCODE does refer to the client family name for a specific trade report section.

Tag, section: 1.1.2.1.3 Tag, section: 1.1.3.1.3 ShrtCode		
Status	Error code	Description
ARRJ	CLT-302	Wskazany SHORTCODE nie przechowuje nazwiska klienta / Indicated SHORTCODE doesn't contain client last name

C303. Checks that the SHORTCODE does refer to the client birthdate for a specific trade report section.		
Tag, section: 1.1.2.1.3 Tag, section: 1.1.3.1.3 ShrtCode		
Status	Error code	Description
ARRJ	CLT-303	Wskazany SHORTCODE nie przechowuje daty urodzenia klienta / Indicated SHORTCODE doesn't contain client date of birth

C304. Checks that the client identifier which is a CCPT or NIDN code follows the pattern ([A-Z]{2,2}[A-Z0-9]{1,33}).		
Tag, section: 1.1.3.1.2.4.1 Id		
Status	Error code	Description
ARRJ	CLT-304	Nieprawidłowy format identyfikatora klienta w typie NIDN ot CCPT / Incorrect format of CCPT or NIDN type of client id

C305. Checks that the client identifier which is a CONCAT code follows the pattern ([A-Z]{2,2}[0-9]{8}[A-Z]{1}[AZ#]{4}[A-Z]{1}[A-Z#]{4}).		
Tag, section: 1.1.3.1.2.4.1 Id		
Status	Error code	Description
ARRJ	CLT-305	Nieprawidłowy format identyfikatora klienta w typie CONCAT / Incorrect format of CONCAT type of client id

C306. Checks that the country code in the client identifier prefix is active.		
Tag, section: 1.1.3.1.2.4.1 Id		
Status	Error code	Description
ARRJ	CLT-306	Nieprawidłowy kod kraju / Invalid country code

C307. Checks that the client identifier which is a NIDN code with the prefix "PL" follows the PESEL or NIP format.		
Tag, section: 1.1.3.1.2.4.1 Id		
Status	Error code	Description
ARRJ	CLT-307	Nieprawidłowy format PESEL lub NIP/ Incorrect format of PESEL or NIP

C308. Checks the PESEL or NIP check digit if the client identifier is a PESEL or NIP.		
Tag, section: 1.1.3.1.2.4.1 Id		
Status	Error code	Description
ARRJ	CLT-308	Nieprawidłowa cyfra kontrolna PESEL lub NIP/ Incorrect check id of PESEL or NIP

C309. Checks that the client birthdate (if reported) is equal to the PESEL birthdate if the client identifier is a PESEL.		
Tag, section: 1.1.3.1.2.4.1 Id 1.1.3.1.2.3 BirthDt		

Status	Error code	Description
ARRJ	CLT-309	Nieprawidłowa data urodzenia / Incorrect date of birth

C310. Checks that the birthdate (if reported) is not more than 120 years old.

Tag, section: 1.1.3.1.2.3 [BirthDt](#)

Status	Error code	Description
ARRJ	CLT-310	Nieprawdopodobna data urodzenia / Impossible date of birth

C311. Checks that the client birthdate (if reported) is equal to the CONCAT birthdate if the client identifier is a CONCAT.

Tag, section: 1.1.3.1.2.4.1 [Id](#) | 1.1.3.1.2.3 [BirthDt](#)

Status	Error code	Description
ARRJ	CLT-311	Nieprawidłowa data urodzenia / Incorrect date of birth

C401. Checks that the SHORTCODE does not refer to the client code "Internal party" for a specific trade report section.

Tag, section: 1.1.2.1.3 | Tag, section: 1.1.3.1.3 [ShrtCode](#)

Status	Error code	Description
ARRJ	CLT-401	Identyfikator przypisany do SHORTCODE odwołuje się do kodu "Internal party" / Id assigned to SHORTCODE refers to "Internal party" code

C501. Checks that the SHORTCODE does not refer to the client code "Algo" for a specific trade report section.

Tag, section: 1.1.2.1.3 | Tag, section: 1.1.3.1.3 [ShrtCode](#)

Status	Error code	Description
ARRJ	CLT-501	Identyfikator przypisany do SHORTCODE odwołuje się do kodu "Algo" / Id assigned to SHORTCODE refers to "Algo" code

C601. Checks that the SHORTCODE does not refer to the client code "Clnt" for a specific trade report section.

Tag, section: 1.1.2.1.3 | Tag, section: 1.1.3.1.3 [ShrtCode](#)

Status	Error code	Description
ARRJ	CLT-601	Identyfikator przypisany do SHORTCODE odwołuje się do kodu "Clnt" / Id assigned to SHORTCODE refers to "Clnt" code

R039. Checks that the LEI of the buyer is included in the GLEIF database.

Tag, section: 1.1.2.1.1 [LEI](#)

Status	Error code	Description
ARRJ	CON-120	LEI XXX podejmującego decyzję w imieniu kupującego jest nieprawidłowe / Buyer decision maker LEI XXX is not valid

R054. Checks that the LEI of the seller is included in the GLEIF database.

Tag, section: 1.1.3.1.1 [LEI](#)

Status	Error code	Description
ARRJ	CON-210	LEI XXX podejmującego decyzję w imieniu sprzedającego jest nieprawidłowe / Seller decision maker LEI XXX is not valid

III.3. Additional rules checking report integrity

To ensure the integrity of reports, the following checks are performed when processing auth.enr.001.01. If the validation identifies an error, auth.enr is rejected and the transaction is be enriched.

**R002 – CON-572 ; R003 – CON-571 ; R004 – CON-572 ; R005 – CON-571, R013 – CON-571 ;
R014 – CON-570 ; R015 – CON-570 ; R016 – CON-570; R202 – CON-121, CON-211.**