

System KDPW_TR

Message: SFTR - Notification message (auth.ntf.00101)

Description:

SFTR - Notification message

Structure:

| Pos | Or | < XML Tag > | Name | Multiplicity | Type |
|---------|------|-----------------------------|-----------------------------------|--------------|---|
| 0 | | Document | Document | [1..1] | Document |
| 0.1 | | NtfctnMsg | Entity relations message | [1..1] | SFTRNotificationMessage |
| 1 | | GnlInf | General information | [1..1] | GeneralInformation |
| 1.1 | | RptgNtty | Report submitting entity Id | [1..1] | LEIIdentifier |
| 2 | | NtfctnDtls | Notification details | [1..n] | NotificationDetails |
| 2.1 | | CrrtnNtfctn | Correction notification | [1..1] | CorrectionNotification |
| 2.1.1 | | Rcld | Counterparty Id | [1..1] | LEIIdentifier |
| 2.1.2 | | UnqTradIdr | Unique trade identifier | [1..1] | Max52Text |
| 2.1.3 | | OthCtrPtyId | Other counterparty identification | [1..1] | OtherCounterpartyIdentification |
| 2.1.3.1 | { or | LEI | LEI | [1..1] | LEIIdentifier |
| 2.1.3.2 | or | CltId | Client Id | [1..1] | Max50Text |
| 2.1.3.3 | or } | BIC | BIC code | [1..1] | AnyBICIdentifier |

Message components:

Document- Document (element)

Description Document

Type [Document](#)

Source <xs:element name="Document" type="Document" />

AnyBICIdentifier- (simple type)

Type Derived from: xs:string

Format xs:pattern=[A-Z]{6,6}[A-Z2-9][A-NP-Z0-9]([A-Z0-9]{3,3}){0,1}

Source <xs:simpleType name="AnyBICIdentifier" >
<xs:restriction base="xs:string" >
 <xs:pattern value="[A-Z]{6,6}[A-Z2-9][A-NP-Z0-9]([A-Z0-9]{3,3}){0,1}" />
</xs:restriction>
</xs:simpleType>

CorrectionNotification- Correction notification (complex type)

Description Correction notification

Components [Rcld](#)

[UnqTradIdr](#)
[OthCtrPtyId](#)

Source `<xs:complexType name="CorrectionNotification" >
<xs:sequence >
<xs:element name="RcId" type="LEIdentifier" />
<xs:element name="UnqTradIdr" type="Max52Text" />
<xs:element name="OthCtrPtyId" type="OtherCounterpartyIdentification" />
</xs:sequence>
</xs:complexType>`

CorrectionNotification/RcId- Counterparty Id (element)

Description Unique code identifying the counterparty submitting the correction

Type [LEIdentifier](#)

Source `<xs:element name="RcId" type="LEIdentifier" />`

CorrectionNotification/UnqTradIdr- Unique trade identifier (element)

Description Unique trade Identifier (UTI) as agreed with the other counterparty.

Type [Max52Text](#)

Source `<xs:element name="UnqTradIdr" type="Max52Text" />`

CorrectionNotification/OthCtrPtyId- Other counterparty identification (element)

Description Other counterparty identification

Type [OtherCounterpartyIdentification](#)

Source `<xs:element name="OthCtrPtyId" type="OtherCounterpartyIdentification" />`

Document- Document (complex type)

Description Document

Components [NtfctnMsg](#)

Source `<xs:complexType name="Document" >
<xs:sequence >
<xs:element name="NtfctnMsg" type="SFTRNotificationMessage" />
</xs:sequence>
</xs:complexType>`

Document/NtfctnMsg- Entity relations message (element)

Description Entity relations message

Type [SFTRNotificationMessage](#)

Source `<xs:element name="NtfctnMsg" type="SFTRNotificationMessage" />`

NotificationDetails- Notification details (complex type)

Description Notification details

Components [CrrtnNtfctn](#)

Source

```
<xs:complexType name="NotificationDetails" >  
  <xs:sequence >  
    <xs:element name="CrrtnNtfctn" type="CorrectionNotification" />  
  </xs:sequence>  
</xs:complexType>
```

NotificationDetails/CrrtnNtfctn- Correction notification (element)

Description Notification of correction submitted by RC

Type [CorrectionNotification](#)

Source

```
<xs:element name="CrrtnNtfctn" type="CorrectionNotification" />
```

OtherCounterpartyIdentification- Other counterparty identification (complex type)

Description Other counterparty identification

Components [LEI](#)
[Cltd](#)
[BIC](#)

Source

```
<xs:complexType name="OtherCounterpartyIdentification" >  
  <xs:sequence >  
    <xs:choice >  
      <xs:element name="LEI" type="LEIIdentifier" />  
      <xs:element name="Cltd" type="Max50Text" />  
      <xs:element name="BIC" type="AnyBICIdentifier" />  
    </xs:choice>  
  </xs:sequence>  
</xs:complexType>
```

OtherCounterpartyIdentification/LEI- LEI (element)

Description Other counterparty LEI

Type [LEIIdentifier](#)

Source

```
<xs:element name="LEI" type="LEIIdentifier" />
```

OtherCounterpartyIdentification/Cltd- Client Id (element)

Description Other counterparty client Id

Type [Max50Text](#)

Source

```
<xs:element name="Cltd" type="Max50Text" />
```

OtherCounterpartyIdentification/BIC- BIC code (element)

| | |
|--------------------|--|
| Description | Other counterparty BIC code |
| Type | AnyBICIdentifier |
| Source | <code><xs:element name="BIC" type="AnyBICIdentifier" /></code> |

GeneralInformation- General information (complex type)

| | |
|--------------------|---|
| Description | General information |
| Components | RptgNtty |
| Source | <code><xs:complexType name="GeneralInformation" > <xs:sequence > <xs:element name="RptgNtty" type="LEIdentifier" /> </xs:sequence> </xs:complexType></code> |

GeneralInformation/RptgNtty- Report submitting entity Id (element)

| | |
|--------------------|---|
| Description | Report submitting entity ID |
| Type | LEIdentifier |
| Source | <code><xs:element name="RptgNtty" type="LEIdentifier" /></code> |

LEIdentifier- LEI Identifier (simple type)

| | |
|--------------------|--|
| Description | Legal Entity Identifier is a code allocated to a party as described in ISO 17442 "Financial Services - Legal Entity Identifier (LEI)". |
| Type | Derived from: xs:string |
| Format | <code>xs:pattern=[A-Z0-9]{18,18}[0-9]{2,2}</code> |
| Source | <code><xs:simpleType name="LEIdentifier" > <xs:restriction base="xs:string" > <xs:pattern value="[A-Z0-9]{18,18}[0-9]{2,2}" /> </xs:restriction> </xs:simpleType></code> |

Max50Text- Max 50 Text (simple type)

| | |
|--------------------|--|
| Description | Specifies a character string with a maximum length of 50 characters. |
| Type | Derived from: xs:string |
| Format | Min. length=1 Max. length=50 |
| Source | <code><xs:simpleType name="Max50Text" > <xs:restriction base="xs:string" > <xs:minLength value="1" /> <xs:maxLength value="50" /> </xs:restriction></code> |

</xs:simpleType>

Max52Text- Max 52 Text (simple type)

Description Specifies a character string with a maximum length of 52 characters.

Type Derived from: xs:string

Format Min. length=1
Max. length=52

Source

```
<xs:simpleType name="Max52Text" >
  <xs:restriction base="xs:string" >
    <xs:minLength value="1" />
    <xs:maxLength value="52" />
  </xs:restriction>
</xs:simpleType>
```

SFTRNotificationMessage- SFTR notification message (complex type)

Description SFTR notification message

Components [Gnllnf](#)
[NtfctnDtls](#){1,unbounded}

Source

```
<xs:complexType name="SFTRNotificationMessage" >
  <xs:sequence >
    <xs:element name="Gnllnf" type="GeneralInformation" />
    <xs:element name="NtfctnDtls" type="NotificationDetails" maxOccurs="unbounded" />
  </xs:sequence>
</xs:complexType>
```

SFTRNotificationMessage/Gnllnf- General information (element)

Description General information

Type [GeneralInformation](#)

Source

```
<xs:element name="Gnllnf" type="GeneralInformation" />
```

SFTRNotificationMessage/NtfctnDtls- Notification details (element)

Description Notification details

Type [NotificationDetails](#)

Source

```
<xs:element name="NtfctnDtls" type="NotificationDetails" maxOccurs="unbounded" />
```