

NO 1130/2022

A RESOLUTION
OF THE KDPW MANAGEMENT BOARD
OF DECEMBER 12, 2022

ON AMENDMENTS
TO THE RULES OF ACCESS TO THE IT SYSTEMS OF KRAJOWY DEPOZYT PAPIERÓW WARTOŚCIOWYCH

In accordance with § 23 subpara. 2 of the KDPW Articles of Association, the KDPW Management Board has resolved the following:

§ 1

In the Rules of Access to the IT Systems of Krajowy Depozyt Papierów Wartościowych, approved on the basis of Resolution No. 228/2020 of the KDPW Management Board of 17 March 2020 (i.e. approved on the basis of Resolution No. 959/2022 of 21 October 2022), § 3 subparas. 1 – 4 shall be amended as follows:

“1. Electronic communication with KDPW is available via the following communication interfaces:

1) the U2A, which is a graphical user interface supporting manual exchange of data with a KDPW application available in the form of a graphical user interface where data may be entered, or where structured messages may be uploaded to dedicated message queues; or

2) the A2A, which is an interface supporting automated exchange of data between a KDPW application and a participant's application using dedicated queues.

2. The communication interfaces are defined independently for each service in accordance with the terms and conditions of communication defined for the service.

3. Messages provided by a participant to KDPW via the U2A graphical user interface shall be deemed delivered upon the receipt of the message by KDPW, where the receipt of the message shall be defined from the moment when it is saved in the relevant KDPW application. Messages sent by KDPW to a participant via the U2A graphical user interface shall be deemed delivered upon the posting of the message in the application or, depending on the terms of the specific service, upon the dispatch by KDPW to the participant of an email notification of the posting of the message in the application. The email address for the delivery of notifications shall be the address provided in the form referred to in § 7 subpara. 3 point 1 as the address for correspondence. This address shall be confirmed by the participant by submitting a declaration on granting the person referred to in § 7 subpara. 7 point 1 of the authorisation to act on the participant's behalf and, if access is granted by a person authorised by the participant as referred to in § 7 subpara. 7 point 2, it shall be confirmed by that person by granting access to the application.

4. Messages sent to KDPW via the A2A or the U2A using structured messages to dedicated message queues shall be deemed delivered upon the receipt of the message by KDPW, where the receipt of the message shall be defined from the commencement of the validation of this message in the KDPW application. Messages provided by KDPW to a participant via A2A shall be deemed delivered upon their

entry in the participant’s output queue.”.

§ 2

This Resolution shall come into force on 27 December 2022.